

GROUPS AND RINGS

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I originally created these notes for use in teaching a graduate course, Math 503 (Algebra I), at METU, fall semester, 2003/4. The main reference was [1], but I also consulted [3] and [6]. I aimed to cover material in [1] week by week roughly as follows; in brackets are exercises:

- (1) I.1
- (2) I.2, 3, 4 (§ 2: 2, 3, 5, 9, 11, 18; § 3: 1, 2, 4, 5, 9)
- (3) I.5 (§ 4: 2, 3, 11, 12; § 5: 1, 7, 19, 20)
- (4) I.6, 7 (§ 6: 1, 4, 7, 8, 9; § 7: 5)
- (5) I.8, 9 (§ 8: 2, 3, 4, 5, 7, 9, 14)
- (6) II.1, 2, 4
- (7) II.5; first in-term examination
- (8) II.6, 7 (solutions to exam problems)
- (9) II.8 (§ 4: 3, 4, 5, 6, 7, 13; § 5: 3, 9, 10, 11; § 6: 9)
- (10) (Şeker bayramı)
- (11) III.1, 2 (II.7: 3, 4, 8, 9; II.8: 1, 5, 7, 13)
- (12) III.3
- (13) III.4; second in-term examination
- (14) III.5
- (15) III.6

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o. FOUNDATIONS OF THE MATHEMATICS

For every set A there is a set $A \cup \{A\}$, which we may call the **successor** of A and denote by A' .

o.1. **Axiom** (Infinity). *There are sets that contain \emptyset and contain the successors of all of their elements.*

o.2. **Lemma**. *There is a unique smallest set with the closure properties of the Axiom of Infinity.*

The unique smallest set in the lemma is denoted by

$$\omega;$$

it is the set of **natural numbers**. By this definition, each natural number is also a *set* of natural numbers; namely, if $n \in \omega$, then

$$n = \{0, 1, 2, \dots, n - 1\}.$$

For any set M , the Cartesian power M^n can be understood as the set of functions from n to M . Such a function can be denoted by (a_0, \dots, a_{n-1}) or just \mathbf{a} . An **n -ary operation** on M is a function from M^n to M . Operations that are 2-ary, 1-ary or 0-ary are also called **binary**, **singular**,¹ or **nullary**. The set M^2 can be identified with the **Cartesian product** $M \times M$. The set M^1 has an obvious bijection with M . The set M^0 always consists of the unique element \emptyset , even if M is empty. Hence a singular operation on M can be understood as a function from M to itself, and a nullary operation on M can be identified with an element of M . In particular, any set equipped with a nullary operation must be non-empty.

The set ω is equipped with:

- (o) the nullary operation (or distinguished element) \emptyset ;
- (1) the singular operation $x \mapsto x'$.

From these can be defined the usual binary operations of addition and multiplication. Also, ω has a binary relation \subseteq , usually written \leq .

A set equipped with some operations and relations is a **structure**. Some essential examples—all definable in terms of ω —are:

- (ω, \leq) , a *well-ordered set*;
- $(\omega, +, 0)$, a *monoid*;
- $(\mathbb{Z}, +, -, 0)$, an *abelian group*;
- $(\mathbb{Z}, +, -, \times, 0, 1)$, a *ring*;
- $(\mathbb{Q}, +, -, \times, 0, 1)$, a *field*.

¹The word **unary** is more common, but less etymologically correct.

Part 1. Construction of groups

1. DEFINITION OF GROUPS

For any set A , we may refer to a bijection from A to itself as a **symmetry** or **permutation** of A . Let $\text{Sym}(A)$ be the set of these symmetries. This is equipped with:

- (0) the element id_A (the identity on A);
- (1) the singular operation $f \mapsto f^{-1}$ (functional inversion);
- (2) the binary operation $(f, g) \mapsto f \circ g$ (functional composition).

Any subset of $\text{Sym}(A)$ that is closed under these operations can be called a **group of symmetries** of A .

We isolate some algebraic properties of groups of symmetries and use them to define groups in general:

1.1. **Definition.** A **group** is a quadruple $(G, \times, ^{-1}, 1)$, where G is a set, and \times , $^{-1}$ and 1 are binary, singular and nullary operations respectively on G such that, for all a, b and c in G :

- (0) $a \times (b \times c) = (a \times b) \times c$ (that is \times is **associative**),
- (1) $a \times 1 = a$ and $1 \times a = a$,
- (2) $a \times a^{-1} = 1$ and $a^{-1} \times a = 1$.

It should be clear that a group of symmetries is in fact a group. Conversely, we shall show below that every group can be identified with a group of symmetries.

The group $(G, \times, ^{-1}, 1)$ has the **universe** G . The group itself is more than its universe; we may indicate this by letting \mathfrak{G} designate the group. However, most people do not distinguish in writing between a group and its universe; and it is not always practical to make the distinction in writing.

For the group-element denoted by 1 above, some people write e . Usually, the **product** $a \times b$ is written as $a \cdot b$ or just ab . The operation itself can be called **multiplication**. Any group-element a determines two singular operations, λ_a and ρ_a , given by

$$\lambda_a x = ax \quad \text{and} \quad \rho_a x = xa.$$

By Definition 1.1, both λ_1 and ρ_1 are the same operation, namely the identity; so 1 itself is called an **identity**.

In a group \mathfrak{G} , multiplication might be denoted by $\times^{\mathfrak{G}}$ (or $\cdot^{\mathfrak{G}}$, or \times^G , or \dots) if it should be distinguished from the multiplication in a different group.

In fact, suppose \mathfrak{H} is another group. A function f from G to H is a **homomorphism** from \mathfrak{G} to \mathfrak{H} if it *preserves* the group-operations:

- (0) $f(1) = 1$ (that is, $f(1^{\mathfrak{G}}) = 1^{\mathfrak{H}}$);
- (1) $f(a^{-1}) = f(a)^{-1}$ (that is, $f(a^{-1^{\mathfrak{G}}}) = f(a)^{-1^{\mathfrak{H}}}$);
- (2) $f(ab) = f(a)f(b)$ (that is, $f(a \times^{\mathfrak{G}} b) = f(a) \times^{\mathfrak{H}} f(b)$)

for all a and b in G . The homomorphism is called:

- a **monomorphism**, if it is injective;
- an **epimorphism**, if it is surjective;
- an **isomorphism**, if it is bijective.

A monomorphism is also called an **embedding**. Every group is isomorphic to its image under an embedding. There is no difference between isomorphic groups as such. A monomorphism of a group into itself is an **endomorphism**; an isomorphism of a group with itself is an **automorphism**.

1.2. **Lemma.** *In any group, the equations*

$$a \cdot X = b \quad \text{and} \quad Y \cdot a = b$$

have unique solutions, namely $a^{-1} \cdot b$ and $b \cdot a^{-1}$.

Proof. We have

$$\begin{aligned} a \cdot X = b &\implies a^{-1}(a \cdot X) = a^{-1}b \\ &\implies (a^{-1}a)X = a^{-1}b \\ &\implies 1 \cdot X = a^{-1}b \\ &\implies X = a^{-1}b; \end{aligned}$$

therefore the equation $a \cdot X = b$ has at most one solution, $a^{-1}b$; this *is* a solution, since $a(a^{-1}b) = (a \cdot a^{-1})b = 1 \cdot b = b$. \square

Note how the proof of this lemma relies on each of the defining properties of groups.

1.3. **Theorem** (Cayley). *Let \mathfrak{G} be a group. If $a \in G$, then both λ_a and ρ_a are in $\text{Sym}(G)$. The map $x \mapsto \lambda_x$ is a monomorphism from \mathfrak{G} to $(\text{Sym}(G), \circ, {}^{-1}, \text{id}_G)$.*

Proof. By Lemma 1.2, the equations $\lambda_a X = b$ and $\rho_a X = b$ always have unique solutions, that is, λ_a and ρ_a are invertible—so they are in $\text{Sym}(G)$. Also, the equations $\lambda_X a = b$ have unique solutions, so the map $x \mapsto \lambda_x$ is injective. Finally, $\lambda_{xy}a = (xy)a = x(ya) = (\lambda_x \circ \lambda_y)a$; we have already observed that $\lambda_1 = \text{id}_G$; and Lemma 1.2 shows that $(\lambda_a)^{-1} = \lambda_{a^{-1}}$. \square

The group-operation $x \mapsto x^{-1}$ can be called **inversion**. We now have, in any group:

- uniqueness of the identity and of inversion;
- left and right cancellation: $ax = ay \implies x = y$, and $xa = ya \implies x = y$.

2. SIMPLIFICATIONS

In Definition 1.1, if we ignore the operation ${}^{-1}$, we have a **monoid**; if we ignore also the 1, we have a **semi-group**.

In particular, if $(G, \times, {}^{-1}, 1)$ is a group, then the *reduct* $(G, \times, 1)$ is a monoid, and (G, \times) is a semi-group; but not every semi-group is the reduct of a monoid, and not every monoid is the reduct of a group.

2.1. **Example.** The set $\{1, 2, 3, \dots\}$ of positive integers is a semi-group when equipped with addition, but it has no identity.

2.2. **Example.** $(\omega, +, 0)$ is a monoid, but only 0 has an inverse.

2.3. **Lemma.** *In Definition 1.1, if we ignore the equations $1 \times a = a$ and $a^{-1} \times a = 1$, we still have a group. In other words, any semi-group with a left-identity and with left-inverses is a group.*

Proof. If $x \cdot x = x$, then $1 = (x \cdot x) \cdot x^{-1} = x \cdot (x \cdot x^{-1}) = x \cdot 1 = x$. But $(a^{-1} \cdot a) \cdot (a^{-1} \cdot a) = a^{-1} \cdot (a \cdot a^{-1}) \cdot a = a^{-1} \cdot a$, so $a^{-1} \cdot a = 1$. Finally, $1 \cdot a = (a \cdot a^{-1}) \cdot a = a \cdot (a^{-1} \cdot a) = a \cdot 1 = a$. \square

The lemma has an obvious dual.

2.4. **Lemma.** *If \mathfrak{G} is a semi-group in which all equations*

$$a \cdot X = b \quad \text{and} \quad Y \cdot a = b$$

have solutions (not assumed unique), then \mathfrak{G} can be expanded to a group (that is, can be given an identity and an inversion).

Proof. There are 1 and c such that $1 \cdot a = a$ and $a \cdot c = b$. Then $1 \cdot b = 1 \cdot (a \cdot c) = (1 \cdot a) \cdot c = a \cdot c = b$. So 1 is a left-identity. Since $X \cdot b = 1$ has a solution, left-inverses exist. Now use Lemma 2.3. \square

By Lemmas 1.2 and 2.4, we can characterize groups as those semi-groups that satisfy the axiom

$$\forall x \forall y \exists z \exists w (xz = y \ \& \ wx = y).$$

In particular, we don't need to talk explicitly about the identity and inversion in order to define a group. This is why statements like the following are true:

2.5. **Lemma.** *A map f from G to H is a group-homomorphism from \mathfrak{G} to \mathfrak{H} , provided it preserves multiplication.*

3. NOTATION

If a_i are group-elements, then by

$$a_0 \cdot a_1 \cdots a_{n-1} \quad \text{or} \quad \prod_{i < n} a_i$$

we mean $(\cdots((a_0 a_1) a_2) \cdots) a_{n-1}$. Recursively:

$$\prod_{i < 0} a_i = 1 \quad \text{and} \quad \prod_{i < n+1} a_i = \left(\prod_{i < n} a_i \right) a_n.$$

3.1. **Lemma** (Associativity). *No matter how parentheses are inserted into a list a_0, a_1, \dots, a_{n-1} of group-elements, the resulting group-element is the same.*

For $\prod_{i < n} a$ we write a^n . In particular, $a^0 = 1$. Also, $(a^n)^{-1}$ is written a^{-n} .

3.2. **Lemma.** *For any element a of a group \mathfrak{G} , the map $n \mapsto a^n$ from \mathbb{Z} to G is a group-homomorphism: in particular,*

$$a^{n+m} = a^n a^m$$

for all integers n and m . Also,

$$a^{mn} = (a^n)^m$$

for all integers n and m .

(Note another way to express the latter point in the lemma. Each n in \mathbb{Z} determines the map $x \mapsto x^n$ from G to itself. That is, we have a map Φ from \mathbb{Z} to $F(G)$, where $F(G)$ is the set of singular operations on G , and $\Phi(n)(a) = a^n$. Then $\Phi(mn) = \Phi(m) \circ \Phi(n)$, so Φ is a homomorphism from the monoid $(\mathbb{Z} \setminus \{0\}, \times, 1)$ to $(F(G), \circ, \text{id}_G)$.)

A group $(G, +, -, 0)$ is **abelian** if $a + b = b + a$ for all a and b in G . Abelian groups are generally written thus, 'additively'. For such groups we may write $a_0 + a_1 + \cdots + a_{n-1}$ or $\sum_{i < n} a_i$ for $(\cdots(a_0 + a_1) + \cdots + a_{n-2}) + a_{n-1}$; for $\sum_{i < n} a$ we write na ; for $-(na)$, we write $(-n)a$; then $n(a + b) = na + nb$, so the maps $a \mapsto na$ are homomorphisms from an abelian group to itself.

4. NEW GROUPS FROM OLD

If \mathfrak{G} and \mathfrak{H} are two groups, then we can define a multiplication on $G \times H$ termwise:

$$(g, h) \cdot (g', h') = (g \times^{\mathfrak{G}} g', h \times^{\mathfrak{H}} h').$$

The result is the group $\mathfrak{G} \times \mathfrak{H}$, the **direct product** of \mathfrak{G} and \mathfrak{H} . (This is the **direct sum** $\mathfrak{G} \oplus \mathfrak{H}$, if \mathfrak{G} and \mathfrak{H} are abelian.)

If \sim is an *equivalence-relation* on the set G , then we can form the **quotient** G/\sim , which contains, for each a in G , the set

$$\{x \in G: x \sim a\};$$

this is the *equivalence-class* or *\sim -class* of a and can be denoted by $[a]$ or \bar{a} . Any group-structure \mathfrak{G} on G induces one on G/\sim , provided

$$a \sim a' \ \& \ b \sim b' \implies ab \sim a'b'.$$

Indeed, if \sim meets this requirement, then it is called a **congruence-relation** on \mathfrak{G} , and a well-defined multiplication on G/\sim is given by

$$[a] \cdot [b] = [a \cdot b].$$

4.1. **Example.** Let $n \in \mathbb{Z}$, and on \mathbb{Z} , let $a \sim b \iff n \mid a - b$; then \mathbb{Z}/\sim has an induced group-structure, which may be written $\mathbb{Z}/\langle n \rangle$ (or \mathbb{Z}_n , or $\mathbb{Z}/n\mathbb{Z}$, or $\mathbb{Z}/(n)$). Note that Z_0 is isomorphic to \mathbb{Z} itself.

4.2. **Example.** On \mathbb{Q} , let $a \sim b \iff a - b \in \mathbb{Z}$; then \mathbb{Q}/\sim has an induced group-structure, which can be written \mathbb{Q}/\mathbb{Z} . Note

$$a \mapsto \exp(2\pi ia): (\mathbb{Q}/\mathbb{Z}, +) \rightarrow (\mathbb{C}^\times, \times).$$

an embedding.

A **subgroup** of a group is a subset containing the identity that is closed under multiplication and inversion. Every group has both itself and $\{1\}$ as subgroups.

4.3. **Lemma.** *A subset of a group is a subgroup if and only if it is non-empty and closed under the binary operation $(x, y) \mapsto xy^{-1}$.*

If \mathfrak{H} is a subgroup of \mathfrak{G} , we write² $\mathfrak{H} \leq \mathfrak{G}$.

4.4. **Lemma.** *If \sim is a congruence-relation on \mathfrak{G} , then the \sim -class of 1 is a subgroup of \mathfrak{G} .*

It is important to note that the converse of the lemma is false in general: not every subgroup of a group determines a congruence-relation. (see Theorem 7.1.)

If f is a homomorphism from G to H , then its **kernel** is the set

$$\{x \in G: f(x) = 1\},$$

denoted by $\ker f$. The **image** of f is

$$\{y \in H: y = f(x) \text{ for some } x \text{ in } G\},$$

denoted by $\text{im } f$.

4.5. **Lemma.** *Let f be a homomorphism from G to H .*

$$(o) \ker f \leq G.$$

²Or simply $\mathfrak{H} < \mathfrak{G}$, if one is not worried about having a way to distinguish *proper* subgroups.

- (1) f is a monomorphism $\iff \ker f = \{1\}$.
 (2) $\text{im } f \leq H$.
 (3) f is an epimorphism $\iff \text{im } f = H$.

4.6. **Lemma.** *An arbitrary intersection of subgroups is a subgroup.*

Given a subset A of (the universe of) a group \mathfrak{G} , we can ‘close’ under the three group-operations, obtaining a subgroup, $\langle A \rangle$. For a formal definition, we let

$$\langle A \rangle = \bigcap \mathcal{S},$$

where \mathcal{S} is the set of all subgroups of \mathfrak{G} that include A .

If $\mathfrak{G} = \langle A \rangle$, then \mathfrak{G} is **generated** by A . If $A = \{a_0, \dots, a_{n-1}\}$, we may write

$$\langle a_0, \dots, a_{n-1} \rangle$$

for $\langle A \rangle$, and say that \mathfrak{G} has the n **generators** a_0, \dots, a_{n-1} .

5. CYCLIC GROUPS

The **order** of a group is its size (or cardinality). The order of G is therefore denoted by

$$|G|.$$

A group is called **cyclic** if generated by a single element. If a is an element of a group G , then $\langle a \rangle$ is a cyclic subgroup of G , and the **order** of a , denoted by

$$|a|,$$

is just the order of $\langle a \rangle$.

5.1. **Lemma.** *If a is an element of a group G , then*

$$\langle a \rangle = \{x \in G : x = a^n \text{ for some } n \text{ in } \mathbb{Z}\}.$$

Proof. Let f be the homomorphism $n \mapsto a^n$ from \mathbb{Z} to G . We have to show $\langle a \rangle = \text{im } f$. Since $\langle a \rangle$ is a group, we know that $a^0 \in \langle a \rangle$. If $a^n \in \langle a \rangle$, then $a^{n+1} \in \langle a \rangle$ and $a^{-n} \in \langle a \rangle$. Hence, by induction, $\text{im } f \subseteq \langle a \rangle$. Since $a \in \text{im } f$, we have $\langle a \rangle \subseteq \text{im } f$ by definition of $\langle a \rangle$. \square

5.2. **Lemma.** *If a is a group-element of finite order, then $a^{|a|} = 1$.*

Proof. The subset $\{1, a, a^2, \dots, a^{|a|}\}$ of $\langle a \rangle$ has size at most $|a|$. Hence we have $0 \leq i < j \leq |a|$ but $a^i = a^j$ for some i and j . Therefore $1 = a^{j-i}$, and $a^k = a^n$ as long as $k \equiv n \pmod{j-i}$. This means $|a| \leq j-i$ and hence $|a| = j-i$. \square

5.3. **Lemma.** *All subgroups of \mathbb{Z} are cyclic. All non-trivial subgroups of \mathbb{Z} are isomorphic.*

Proof. Say $G \leq \mathbb{Z}$ and $G \neq \langle 0 \rangle$. As a set, G has a greatest common divisor, n . That is, if we write G as $\{a_i : i \in \omega\}$, then

$$n = \min\{\text{gcd}(a_0, \dots, a_m) : m \in \omega\}.$$

Then $G \leq \langle n \rangle$. Also, for some m and some b_0, \dots, b_{m-1} in \mathbb{Z} , we have

$$n = b_0 a_0 + \dots + b_{m-1} a_{m-1};$$

so $\langle n \rangle \leq G$. The map $x \mapsto nx$ from \mathbb{Z} to G is an epimorphism, by Lemma 5.1; but its kernel is trivial; so it is an isomorphism, by Lemma 4.5. \square

5.4. **Theorem.** *Every cyclic group is isomorphic to some $\mathbb{Z}/\langle n \rangle$.*

Proof. Say $G = \langle a \rangle$. By Lemma 5.3, the epimorphism $x \mapsto a^x$ from \mathbb{Z} to G has kernel $\langle n \rangle$ for some n ; therefore

$$a^r = a^s \iff a^{r-s} = 1 \iff r - s \in \langle n \rangle \iff n \mid r - s.$$

Hence the map $x \mapsto a^x$ is well-defined on $\mathbb{Z}/\langle n \rangle$ and has trivial kernel. \square

6. COSETS

Suppose $H \leq G$. If $a \in G$, let

$$\begin{aligned} aH &= \lambda_a[H], \\ Ha &= \rho_a[H]. \end{aligned}$$

Each of the sets aH is a **left co-set** of H , and the set of these is denoted by

$$G/H.$$

Each of the sets Ha is a **right co-set** of H , and the set of these is denoted by

$$H \backslash G.$$

6.1. Lemma. *The left cosets of H in G are the classes determined by an equivalence-relation on G . Likewise for the right cosets. All cosets of H have the same size; also, G/H and $H \backslash G$ have the same size.*

Proof. We have $a \in aH$. All cosets of H have the same size as H , since the maps λ_a and ρ_a are bijections by Cayley's Theorem (1.3). If $aH \cap bH \neq \emptyset$, then $ah \in bH$ for some h in H , so $a \in bHH^{-1} \subseteq bH$, whence $aH \subseteq bH$, so $aH = bH$. Hence the left cosets compose a partition of G , and therefore determine an equivalence-relation. Inversion is a permutation of G taking aH to Ha^{-1} , so G/H and $H \backslash G$ have the same size. \square

The size of G/H (or $H \backslash G$) is the **index** of H in G and can be denoted by

$$[G : H].$$

6.2. Theorem (Lagrange). $|H|$ divides $|G|$ if both are finite.

Proof. $|G| = [G : H] \cdot |H|$. \square

In fact, if also $K \leq H$, then $[G : K] = [G : H] \cdot [H : K]$.

6.3. Theorem. *Groups of prime order are cyclic.*

Proof. Say $|G| = p$. There is a in $G \setminus \langle 1 \rangle$, so $|a| > 1$; but $|a| \mid p$, so $|a| = p$, that is, $G = \langle a \rangle$. \square

6.4. Lemma. *If G is finite and $a \in G$, then $a^{|G|} = 1$.*

Proof. $a^{|G|} = a^{[G:\langle a \rangle] \cdot |a|} = (a^{|a|})^{[G:H]} = 1^{[G:H]} = 1$. \square

The set \mathbb{Z} of integers is a semi-group with respect to multiplication. The non-zero integers form a multiplicative monoid. This multiplication is well-defined on $\mathbb{Z}/\langle n \rangle$ for any integer n , and the non-zero elements of this compose a monoid. Let

$$(\mathbb{Z}/\langle n \rangle)^\times$$

comprise the invertible elements of this monoid; so $(\mathbb{Z}/\langle n \rangle)^\times$ is a group.

6.5. Lemma. $(\mathbb{Z}/\langle n \rangle)^\times = \{[x] \in \mathbb{Z}/\langle n \rangle : \gcd(x, n) = 1\}$.

Proof. $\gcd(m, n) = 1$ if and only if $am + bn = 1$ for some integers a and b ; but this just means $[a][m] = 1$ for some a . \square

6.6. Theorem (Fermat). *If the prime p is not a factor of a , then*

$$a^{p-1} \equiv 1 \pmod{p}.$$

Hence $a^p \equiv a \pmod{p}$ for any integer a .

Proof. The order of $(\mathbb{Z}/\langle p \rangle)^\times$ is $p - 1$, and $[a] \in (\mathbb{Z}/\langle p \rangle)^\times$. This proves the first claim. The second claim is trivial if $p \mid a$. \square

If $n \neq 0$, let the order of $(\mathbb{Z}/\langle n \rangle)^\times$ be denoted by

$$\phi(n).$$

6.7. Theorem (Euler). *If $\gcd(a, n) = 1$, then $a^{\phi(n)} \equiv 1 \pmod{n}$.*

7. NORMAL SUBGROUPS

A subgroup H of G is **normal** if its left and right cosets determine the same equivalence-relation, that is,

$$aH = Ha$$

for all a in G . There are various alternative formulations, most notably

$$aHa^{-1} \subseteq H.$$

If H is a normal subgroup of G , then one writes

$$H \trianglelefteq G.$$

Of abelian groups, all subgroups are normal.

7.1. Theorem. *Suppose $H \leq G$. Then $H \trianglelefteq G$ if and only if the equivalence-relation determined by the left cosets of H is a congruence-relation, that is, H/G is a well-defined group.*

Proof. Suppose G/H is a group. Then

$$a_0H = a_1H \ \& \ b_0H = b_1H \implies b_0a_0H = b_1a_1H.$$

In particular, say $a_0 = a_1 = a$ and $b_0 = b \in H$ and $b_1 = 1$. then $baH = aH$, so $a^{-1}baH = H$.

Conversely, suppose $H \trianglelefteq G$ and $a_0H = a_1H$ and $b_0H = b_1H$. Then $b_0a_0H = b_0Ha_0 = b_1Ha_0 = b_1a_0H = b_1a_1H$. \square

If $N \trianglelefteq G$, then the group G/N is the **quotient-group** of G by N .

7.2. Theorem. *Normality is preserved in subgroups, that is, if $N \trianglelefteq G$ and $H \leq G$, then $N \cap H \trianglelefteq H$.*

Proof. The defining property of normal subgroups is universal, that is, $N \trianglelefteq G$ means $(G, N) \models \forall x \forall y (x \in N \rightarrow yxy^{-1} \in N)$. \square

7.3. Theorem. *If $N \trianglelefteq G$, then $\langle N \cup H \rangle = NH$ for all subgroups H of G .*

Proof. If $N \trianglelefteq G$, then $NH = HN$, so if $n_0h_0, n_1h_1 \in NH$, then $n_0h_0h_1^{-1}n_1^{-1} \in NHHN = NHHN = NNH = NH$. \square

Does the converse hold?

Does it?

7.4. Theorem. *The normal subgroups of a group are precisely the kernels of homomorphisms on the group.*

Proof. If f is a homomorphism from G to H , then $f(ana^{-1}) = f(a)f(n)f(a)^{-1} = 1$ for all n in $\ker f$, so $a(\ker f)a^{-1} \subseteq \ker f$.

If $N \trianglelefteq G$, then the map $x \mapsto xN$ from G to G/N is a homomorphism with kernel N . \square

In the proof, the map $x \mapsto xN$ is the **canonical projection** of G onto G/N ; it may be denoted by π .

7.5. Lemma. *If f is a homomorphism from G to H , and N is a normal subgroup of G such that $N \leq \ker f$, then there is a unique homomorphism \tilde{f} from G/N to H such that $f = \tilde{f} \circ \pi$.*

$$\begin{array}{ccc} G & \xrightarrow{f} & H \\ \pi \downarrow & \nearrow \exists! & \\ G/N & & \end{array}$$

Proof. If \tilde{f} exists, it must satisfy $\tilde{f}(xN) = f(x)$ for all x in G . Such \tilde{f} does exist, since if $xN = yN$, then $xy^{-1} \in N \leq \ker f$, so $f(xy^{-1}) = 1$ and $f(x) = f(y)$. \square

7.6. Theorem (First Isomorphism). *$G/\ker f \cong \text{im } f$ for any homomorphism f on G .*

Proof. In Lemma 7.5, let $N = \ker f$; then \tilde{f} is the desired homomorphism. \square

7.7. Theorem (Second Isomorphism). *If $H \leq G$ and $N \trianglelefteq G$, then*

$$\frac{H}{H \cap N} \cong \frac{HN}{N}.$$

Proof. The map $h \mapsto hN$ from H to HN/N is surjective with kernel $H \cap N$. \square

7.8. Example. In \mathbb{Z} , since $\langle n \rangle \cap \langle m \rangle = \langle \gcd(n, m) \rangle$ and $\langle n \rangle \langle m \rangle = \langle \text{lcm}(n, m) \rangle$, we have

$$\frac{\langle n \rangle}{\langle \gcd(n, m) \rangle} \cong \frac{\langle \text{lcm}(n, m) \rangle}{\langle m \rangle}.$$

7.9. Theorem (Third Isomorphism). *If N and K are normal subgroups of G and $N \leq K$, then $K/N \trianglelefteq G/N$ and*

$$\frac{G/N}{K/N} \cong G/K.$$

Proof. By Lemma 7.5, the map $xN \mapsto xK$ from G/N to G/K is a well-defined epimorphism. The kernel contains xN if and only if $x \in K$, that is, $xN \in K/N$. \square

8. FINITE GROUPS

By Cayley's Theorem, we know that any finite group embeds in the group of symmetries of a finite set, namely the universe of the group itself.

8.1. Theorem. *Suppose A is a set, and G is a finite subgroup of $\text{Sym}(A)$. Then there is a finite subset B of A such that $\phi \upharpoonright B \in \text{Sym}(B)$ whenever $\phi \in G$, and the map $\phi \mapsto \phi \upharpoonright B$ is an embedding of G in $\text{Sym}(B)$.*

Proof. For any finite subset C of A , each ϕ in G permutes the finite subset

$$\{\xi(a) : \xi \in G \text{ \& } a \in C\}$$

of A . Call this set $G(C)$. Then the map

$$\phi \mapsto \phi \upharpoonright G(C)$$

from G to $\text{Sym}(G(C))$ is a homomorphism. The set C can be chosen so that for each ϕ in G there is a in C so that $\phi(a) \neq a$; then the homomorphism is an embedding. \square

We may consider any finite group as a subgroup of some $\text{Sym}(n)$. (This is also denoted by S_n , although most writers may consider this as $\text{Sym}(\{1, 2, \dots, n\})$.) An element σ of $\text{Sym}(n)$ can be denoted by

$$\begin{pmatrix} 0 & 1 & \cdots & n-1 \\ \sigma(0) & \sigma(1) & \cdots & \sigma(n-1) \end{pmatrix}.$$

In particular, the permutation

$$\begin{pmatrix} 0 & 1 & \cdots & n-2 & n-1 \\ 1 & 2 & \cdots & n-1 & 0 \end{pmatrix}.$$

can be called a *cycle*. More generally, if $m \leq n$, then the permutation

$$\begin{pmatrix} 0 & 1 & \cdots & m-2 & m-1 & m & \cdots & n-1 \\ 1 & 2 & \cdots & m-1 & 0 & m & \cdots & n-1 \end{pmatrix}$$

can be called an *m-cycle*. If for the moment we call this permutation σ_m , then any σ in $\text{Sym}(n)$ is an *m-cycle* or a cycle of **length** m if

$$\sigma = \tau \sigma_m \tau^{-1}$$

for some τ in $\text{Sym}(n)$. The length of a cycle is its order. Also, σ can be written

$$\begin{pmatrix} \tau(0) & \tau(1) & \cdots & \tau(m-2) & \tau(m-1) & \tau(m) & \cdots & \tau(n-1) \\ \tau(1) & \tau(2) & \cdots & \tau(m-1) & \tau(0) & \tau(m) & \cdots & \tau(n-1) \end{pmatrix},$$

or more neatly as

$$(\tau(0) \ \tau(1) \ \cdots \ \tau(m-1)).$$

In this notation, the same cycle σ can be written in m different ways, as

$$(\tau(i) \ \tau(i+1) \ \cdots \ \tau(i+m-1)),$$

for any i in n , where the arguments of τ are understood *modulo* m . If also σ' is a cycle $(\tau'(0) \ \cdots \ \tau'(m'-1))$ in $\text{Sym}(n)$, then the two cycles are **disjoint** if $\tau(i) \neq \tau'(i')$ for any i in m and i' in m' . In this case, $\sigma\sigma' = \sigma'\sigma$.

8.2. Theorem. *Every element of $\text{Sym}(n)$ is a product of disjoint cycles, uniquely up to order of factors.*

Proof. Let $\sigma \in \text{Sym}(n)$. Then σ determines a partition of n whose elements are the subsets $\{\sigma^i(x) : i \in n\}$ of n , where $x \in n$. If such a subset has size $n(x)$, then σ is the product of the distinct (and therefore disjoint) cycles

$$(x \ \sigma(x) \ \cdots \ \sigma^{n(x)-1}(x)).$$

Any factorization of σ into disjoint cycles determines the same partition and hence the same factors. \square

8.3. **Corollary.** *The order of a finite permutation is the least common multiple of the orders of its disjoint cyclic factors.*

A 2-cycle is also called a **transposition**.

8.4. **Corollary.** *Every finite permutation is a product of transpositions.*

Proof. $(0 \ 1 \ \cdots \ m-1) = (0 \ m-1) \cdots (0 \ 2) (0 \ 1)$. □

8.5. **Theorem.** *If a finite permutation is factored into transpositions, the number of these transpositions is uniquely determined modulo 2.*

Proof. Let \mathbb{Q}^\times be the set of multiplicatively invertible elements in \mathbb{Q} . Then \mathbb{Q}^\times is a group, with subgroup $\langle -1 \rangle$. There is a homomorphism $x \mapsto \text{sgn}(x)$, the **sign** or **signum**, from \mathbb{Q}^\times to $\langle -1 \rangle$; it is given by

$$\text{sgn}(x) = \begin{cases} -1, & \text{if } x < 0; \\ 1, & \text{if } 0 < x. \end{cases}$$

Then there is a homomorphism with the same name from $\text{Sym}(n)$ to $\langle -1 \rangle$ given by

$$\text{sgn}(\sigma) = \prod_{i < j < n} \text{sgn}(\sigma(j) - \sigma(i)).$$

Also, $\text{sgn}(\sigma) = -1$ if σ is a transposition. Hence an arbitrary permutation is the product of an odd number of transpositions if and only if $\text{sgn}(\sigma) = -1$. □

A finite permutation σ can be called **even** if it is a product of an even number of transpositions, that is, $\text{sgn}(\sigma) = 1$; otherwise $\text{sgn}(\sigma) = -1$, and σ is **odd**.

8.6. **Remark.** For an alternative proof, let $f(X_0, \dots, X_{n-1})$ be the polynomial

$$\prod_{i < j < n} (X_j - X_i).$$

For any polynomial $g(X_0, \dots, X_{n-1})$, if $\sigma \in \text{Sym}(n)$, then we may define

$$\sigma(g(X_0, \dots, X_{n-1})) = g(X_{\sigma(0)}, \dots, X_{\sigma(n-1)}).$$

In particular, σ permutes the 2-element set $\{f, -f\}$, so $\text{Sym}(n)$ maps homomorphically into $\text{Sym}(\pm f)$. The transposition $(0 \ 1)$ takes $\pm f$ to $\mp f$; hence the same is true for any transposition. Hence $\sigma f = f$ if and only if σ is a product of an even number of transpositions.

The **alternating group** of degree n is the kernel of $x \mapsto \text{sgn}(x)$ on $\text{Sym}(n)$ and is denoted by

$$A_n.$$

A group is **simple** if it has no proper non-trivial normal subgroups.

8.7. **Example.** $\mathbb{Z}/\langle n \rangle$ is simple just in case $|n|$ is prime. Hence the only simple Abelian groups are the $\mathbb{Z}/\langle p \rangle$, where p is prime.

8.8. **Lemma.** A_n is generated by the 3-cycles.

Proof. $(0 \ 1 \ 2) = (0 \ 2) (0 \ 1)$ and $(0 \ 1) (2 \ 3) = (1 \ 2 \ 0) (2 \ 3 \ 1)$. □

8.9. **Lemma.** A_n is generated by the 3-cycles $(0 \ 1 \ k)$, where $1 < k < n$.

Proof. $(0\ 2\ 3) = (0\ 1\ 3)(0\ 1\ 2)^{-1}$ and
 $(2\ 3\ 4) = (0\ 1\ 2)^{-1}(0\ 1\ 4)(0\ 1\ 3)^{-1}(0\ 1\ 2)$. □

8.10. **Lemma.** Any normal subgroup of A_n containing a 3-cycle is A_n .

Proof. $(0\ 1\ 3) = (0\ 1)(2\ 3)(0\ 1\ 2)^{-1}(2\ 3)(0\ 1)$. □

8.11. **Lemma.** If $n > 4$, then a normal subgroup of A_n contains a 3-cycle, provided it has a non-trivial element whose factorization into disjoint cycles contains one of the following:

- (1) a cycle of order at least 4;
- (2) two cycles of order 3;
- (3) only one 3-cycle, and no cycles of order at least 4; or
- (4) no cycles of length 3 or more.

Proof. Suppose $N \trianglelefteq A_n$ and $\sigma\tau \in N$, where σ and τ are disjoint products of disjoint cycles. For any ζ in A_n , we have

$$\zeta(\sigma\tau)\zeta^{-1} \in N,$$

whence $\zeta(\sigma\tau)\zeta^{-1}(\sigma\tau)^{-1} \in N$. Assume ζ is disjoint from τ . Then

$$\zeta\sigma\zeta^{-1}\sigma^{-1} = \zeta(\sigma\tau)\zeta^{-1}(\sigma\tau)^{-1} \in N.$$

So it is enough now to note the following:

- (1) $(0\ 1\ 2)(0\ 1\ \dots\ r-1)(0\ 1\ 2)^{-1}(0\ 1\ \dots\ r-1)^{-1} = (0\ 1\ 3)$, if $r \geq 4$.
- (2) $(0\ 1\ 3)(0\ 1\ 2)(3\ 4\ 5)(0\ 1\ 3)^{-1}(3\ 4\ 5)^{-1}(0\ 1\ 2)^{-1} = (0\ 1\ 4\ 2\ 3)$.
- (3) If τ is disjoint from $(0\ 1\ 2)$, then $((0\ 1\ 2)\tau)^2 = (0\ 1\ 2)^{-1}\tau^2$.
- (4) We can eliminate all but two transpositions, since

$$(0\ 1\ 2)(0\ 1)(2\ 3)(0\ 1\ 2)^{-1}(2\ 3)(0\ 1) = (0\ 2)(1\ 3).$$

$$\text{Also } (0\ 2\ 4)(0\ 2)(1\ 3)(0\ 2\ 4)^{-1}(1\ 3)(0\ 2) = (0\ 4\ 2).$$

This completes the proof. □

8.12. **Theorem.** A_n is simple if $n \neq 4$, but A_4 is not simple.

Proof. A_1 and A_2 are trivial, and $A_3 \cong \mathbb{Z}/\langle 3 \rangle$, while A_4 has the normal subgroup

$$\langle (0\ 1)(2\ 3), (0\ 2)(1\ 3), (0\ 3)(1\ 2) \rangle.$$

The case when $n > 4$ is handled by the previous lemmas. □

Here's a way to prove that A_5 is simple by counting and using the following

8.13. **Lemma.** If $N \trianglelefteq G$ and $x \in G$, and the index of N in G and the order of x are finite and relatively prime, then $x \in N$.

Now, A_5 has $5!/2$ or 60 elements, namely:

- 1 identity;
- 15 products $(a\ b)(c\ d)$;
- 20 cycles of order 3;
- 24 cycles of order 5.

Suppose $N \trianglelefteq A_5$, so $|N|$ divides $2^2 \cdot 3 \cdot 5$:

- If $|N|$ is a multiple of 3, then $[A_5 : N]$ is prime to 3, so N contains all 3-cycles, hence all elements of A_5 .
- If $|N|$ is a multiple of 5, then $[A_5 : N]$ is prime to 5, so N contains the 24 cycles of order 5, and again N must be A_5 .
- If $|N|$ is a multiple of 4, then $[A_5 : N]$ is prime to 4, so N contains the 15 products $(a \ b)(c \ d)$, and $N = A_5$.
- If $|N| = 2$, then $N = \langle (a \ b)(c \ d) \rangle$, but this is not normal.

The **dihedral group** D_n is the subgroup

$$\left\langle (0 \ 1 \ \dots \ n-1), \prod_{0 < i < n/2} (i \ n-i) \right\rangle;$$

it can be seen as the group of symmetries of a regular n -gon.

8.14. Theorem. *If $n > 2$, then D_n is the unique group (up to isomorphism) with two generators a and b such that $|a| = n$ and $|b| = |ab| = 2$; the group has order $2n$.*

Proof. D_n does meet the given description. Suppose G does. Since $abab = 1$, we have $ba = a^{-1}b$ and $ba^{-1} = ab$, whence $ba^r = a^{-r}b$ for all integers r . Hence $G = \{a^i b^j : (i, j) \in n \times 2\}$. Suppose $a^i b^j = 1$, where $(i, j) \in n \times 2$. Then $a^i = b^j$, so $a^{2i} = 1$, which means $2i \in \{0, n\}$. So if n is odd, then $(i, j) = (0, 0)$. If $n = 2m$, then $aa^m aa^m = a^2 \neq 1$, so $i \neq m$, and again $(i, j) = (0, 0)$. \square

9. THE CATEGORY OF GROUPS

For any two groups G and H there is a set

$$\text{Hom}(G, H)$$

comprising the homomorphisms from G to H . There is a map

$$(g, f) \mapsto g \circ f$$

from $\text{Hom}(H, K) \times \text{Hom}(G, H)$ to $\text{Hom}(G, K)$, and there is id_H in $\text{Hom}(H, H)$, such that

$$\text{id}_H \circ f = f, \quad g \circ \text{id}_H = g, \quad k \circ (g \circ f) = (k \circ g) \circ f$$

whenever these equations make sense. Therefore groups with their homomorphisms compose a *category*.

A category can be seen as a kind of *graph*, according to one definition of the term, namely: a **graph** \mathfrak{G} is a quadruple

$$(\mathbf{G}_0, \mathbf{G}_1, t, h),$$

where \mathbf{G}_0 and \mathbf{G}_1 are classes, and t and h are functions from \mathbf{G}_1 to \mathbf{G}_0 . We may refer to each element of \mathbf{G}_0 as a **node**, and to each element of \mathbf{G}_1 as an **arrow**. If a is an arrow, then $t(a)$ is its **tail**, and $h(a)$ is its **head**, and a is an **arrow from** $t(a)$ **to** $h(a)$. If f is an arrow from A to B , we may write $f: A \rightarrow B$ or $A \xrightarrow{f} B$. One might like to require the arrows from A to B to compose a set. We can define

$$\mathbf{G}_2 = \{(\xi, \eta) \in \mathbf{G}_1^2 : t(\xi) = h(\eta)\};$$

this is the class of paths of length 2. More generally,

$$\mathbf{G}_{n+1} = \left\{ \xi \in \mathbf{G}_1^{n+1} : \bigwedge_{i < n} t(\xi_i) = h(\xi_{i+1}) \right\}.$$

Suppose \mathfrak{C} is a graph, and

$$A \mapsto \text{id}_A: \mathbf{C}_0 \rightarrow \mathbf{C}_1 \quad \text{and} \quad (f, g) \mapsto f \circ g: \mathbf{C}_2 \rightarrow \mathbf{C}_1,$$

where $t(\text{id}_A) = h(\text{id}_A) = A$, and $t(f \circ g) = t(g)$, and $h(f \circ g) = h(f)$, and

$$f \circ \text{id}_B = f, \quad \text{id}_A \circ g = g, \quad f \circ (g \circ h) = (f \circ g) \circ h$$

whenever these make sense. Then \mathfrak{C} is a **category**. The arrows of a category are also called **morphisms**. The morphism $f \circ g$ is the **composite** of f and g .

A category is **concrete** if each of its objects has an underlying set, and the morphisms are maps in the way suggested by the notation.

9.1. **Example.** The class of sets, with the class of functions, is a concrete category.

Not all categories are concrete:

9.2. **Example.** If G is a group, then its elements can be considered as objects of a category in which $\text{Hom}(a, b) = \{ba^{-1}\}$ and $\text{id}_a = 1$ and $c \circ d = cd$.

In a category, a morphism f is an **isomorphism** if

$$g \circ f = \text{id}_{t(f)} \quad \text{and} \quad f \circ g = \text{id}_{h(f)}$$

for some morphism g ; then g is an **inverse** of f .

9.3. **Lemma.** *In a category, inverses are unique.*

Proof. If g and h are inverses of f , then $g = g \circ \text{id}_{h(f)} = g \circ (f \circ h) = (g \circ f) \circ h = \text{id}_{t(f)} \circ h = h$. □

If it exists, then the inverse of f is f^{-1} . It is immediate then that $(f^{-1})^{-1} = f$.

Let I be an index-set, and let i range over this. For any class \mathbf{D} , let \mathbf{D}^I be the class of functions from I to \mathbf{D} . If it exists, a **product** of an element A of \mathbf{C}_0^I is an element of $\mathbf{C}_0 \times \mathbf{C}_1^I$, denoted by

$$\left(\prod A, \pi \right)$$

or $(\prod_{i \in I} A_i, (\pi_i: i \in I))$, where

$$\pi_i: \prod A \rightarrow A_i,$$

and whenever $(B, \mathbf{f}) \in \mathbf{C}_0 \times \mathbf{C}_1^I$ and $f_i: B \rightarrow A_i$, then $g: B \rightarrow \prod A$, and

$$\pi_i \circ g = f_i$$

for each i in I , for some unique g .

$$\begin{array}{ccc} \prod A & \xrightarrow{\pi_i} & A_i \\ \uparrow \exists! & \nearrow f_i & \\ B & & \end{array}$$

The morphisms π_i are the **canonical projections**.

9.4. **Lemma.** *Products are unique when they exist.*

(One can define commutative diagrams formally. A **diagram** is a homomorphism from a graph to a category. One then thinks of the diagram as the graph with its nodes and arrows labelled with their images in the category. The diagram is **commutative** if every path in the graph with the same tail and head is sent to the same morphism in the category.)

9.5. **Theorem.** *The category of groups has products. In fact,*

$$\prod_{i \in I} G_i = \left\{ \xi \in \left(\bigcup_{i \in I} G_i \right)^I : \xi_i \in G_i \right\} \quad \text{and } \pi_i \mathbf{g} = g_i.$$

Proof. It is clear that $\prod G$ is a group. Suppose $f_i: H \rightarrow G_i$. Then we can define a homomorphism h from H to $\prod G$ by

$$h(x)_i = f_i(x),$$

that is, $\pi_i(h(x)) = f_i(x)$, that is, $\pi_i \circ h = f_i$. Thus h is the unique homomorphism satisfying the last equation. \square

Every category \mathfrak{C} has a **dual category**, \mathfrak{C}^* , in which the arrows are reversed, so that

$$t^*(f) = h(f), \quad h^*(f) = t(f), \quad g \circ^* f = f \circ g, \quad \text{id}_A^* = \text{id}_A.$$

A **co-product** or **sum** in a category is a product in the dual and may be denoted by

$$\left(\coprod A, \iota \right) \quad \text{or} \quad \left(\sum A, \iota \right);$$

the maps ι_i are the **canonical injections**.

$$\begin{array}{ccc} \coprod A & \xleftarrow{\iota_i} & A_i \\ \exists! \downarrow & \swarrow f_i & \\ B & & \end{array}$$

9.6. **Theorem.** *Sums exist in the category of abelian groups. In fact,*

$$\sum_{i \in I} G_i = \left\{ \xi \in \prod_{i \in I} G_i : |\{i \in I : \xi_i \neq 0\}| < \infty \right\} \quad \text{and} \quad \iota_i(x)_j = \begin{cases} x, & \text{if } i = j; \\ 0, & \text{if } i \neq j. \end{cases}$$

Proof. It is clear that $\sum G$ is a group. Suppose $f_i: G_i \rightarrow H$. Then we can define a homomorphism h from $\sum G$ to H by

$$h(g) = \sum_{i \in I} f_i(g_i).$$

Then h is a well-defined homomorphism, and

$$h(\iota_i(g)) = f_i(g)$$

when $g \in G_i$, that is, $h \circ \iota_i = f_i$. This condition determines h as a homomorphism. \square

Suppose F is an object in a concrete category and A is a set. Then F is called **free** on A if there is a map i from A to F , and for any map f from A to an object, there is a unique morphism \tilde{f} from F to the object such that $\tilde{f} \circ i = f$: that is, the following diagram commutes (although the nodes and arrows are from the category of sets):

$$\begin{array}{ccc} A & \xrightarrow{i} & F \\ & \searrow & \downarrow \exists! \\ & & B \end{array}$$

9.7. **Theorem.** *The category of groups has free objects on all sets.*

Proof. Let A be a set, let $x \mapsto x^{-1}$ be a bijection between A and a disjoint set, let $x \mapsto x^{+1}$ be the identity on A , and let $x \mapsto -x$ be the non-trivial permutation of $\{+1, -1\}$. Let F be the set of all finite sequences whose terms are $a^{\pm 1}$, where $a \in A$, and in which a^{+1} and a^{-1} are never adjacent. Then F is a group when the product is defined by

$$(a_{m-1}^{\epsilon(m-1)} \cdots a_0^{\epsilon(0)})(b_0^{\zeta(0)} \cdots b_{n-1}^{\zeta(n-1)}) = a_{m-1}^{\epsilon(m-1)} \cdots a_j^{\epsilon(j)} b_j^{\zeta(j)} \cdots b_{n-1}^{\zeta(n-1)},$$

where j is maximal such that $a_i^{\epsilon(i)} = b_i^{-\zeta(i)}$ when $i < j$. Then F is a group (in which the identity is the empty sequence), and F is the free group on A . \square

Elements of the free group on A are **reduced words** on A . (A **word** on A is just a finite sequence whose terms are $a^{\pm 1}$ or 1.)

9.8. Theorem. *The category of abelian groups has free objects on all sets.*

Proof. The free abelian group on A is $\sum_{i \in A} \mathbb{Z}$, into which A maps by the function f given by

$$f(x)_i = \begin{cases} 1, & \text{if } i = x; \\ 0, & \text{if } i \neq x. \end{cases}$$

Indeed, if g is a map from A into an abelian group G , then we have

$$\xi \mapsto \sum_{i \in A} \xi_i \cdot g(i)$$

from $\sum_{i \in A} \mathbb{Z}$ to G , and this is the unique function \tilde{g} such that $\tilde{g} \circ f = g$. \square

The **free product** of a family $(G_i : i \in I)$ of groups is defined in the way F is in the proof of Theorem 9.7: it is the set, denoted by

$$\prod_{i \in I}^* G_i,$$

of sequences of non-identity elements of the G_i , no two adjacent entries being from the same group. (So the groups are considered to be disjoint, except for their identities.) Multiplication on $\prod_{i \in I}^* G_i$ is defined in the obvious way: it is juxtaposition, followed by multiplication of adjacent terms from the same group, with identities deleted.

9.9. Theorem. *Co-products exist in the category of groups; in fact,*

$$\coprod_{i \in I} G_i = \prod_{i \in I}^* G_i,$$

and the ι_i are the obvious injections.

Proof. Suppose $f_i : G_i \rightarrow H$. We can define h from $\prod_{i \in I}^* G_i$ to H by

$$h(g_0 \cdots g_{m-1}) = f_{n(0)}(g_0) \cdots f_{n(m-1)}(g_{m-1}),$$

where $g_i \in G_{n(i)}$. Then h is unique such that $h \circ \iota_i = f_i$. \square

10. PRODUCTS OF GROUPS

Strictly speaking, a product $\prod_{i \in I} G_i$ is the product, not of the set $\{G_i : i \in I\}$, but of the ‘indexed set’ or function $(G_i : i \in I)$; however, not all writers observe this. For example, if $I = 2$, then $(G_i : i \in I)$ might be (G, G) , with product $G \times G$; but $\{G_i : i \in I\}$ would be $\{G\}$, and G is not $G \times G$.

Instead of $\prod_{i < n} G_i$, we may write

$$G_0 \times \cdots \times G_{n-1},$$

or $G_0 \oplus \cdots \oplus G_{n-1}$ if the groups are abelian.

In the general case, the set

$$\left\{ g \in \prod_{i \in I} G_i : |\{i \in I : g_i \neq 1\}| < \infty \right\}$$

is the **weak direct product** of $(G_i : i \in I)$ and is denoted by

$$\prod_{i \in I}^w G_i;$$

but in the abelian case this is just the **direct sum**

$$\sum_{i \in I} G_i.$$

Note that weak direct products are *not* sums in the category of groups.

10.1. Theorem. $\prod_{i \in I}^w G_i \trianglelefteq \prod_{i \in I} G_i$, and the natural image of each G_j in $\prod_{i \in I}^w G_i$ is also normal.

10.2. Lemma. If M and N are normal subgroups of G , and $M \cap N = \langle 1 \rangle$, then $mn = nm$ for all m in M and n in N .

Proof. The group-element $mnm^{-1}n^{-1}$ can be analyzed as the element

$$(mnm^{-1})n^{-1}$$

of N and as $m(nm^{-1}n^{-1})$ in M ; so it is 1, which means $mn = (m^{-1}n^{-1})^{-1} = nm$. \square

10.3. Theorem. If $N_i \trianglelefteq G$ for each i in I , and

$$G = \left\langle \bigcup_{i \in I} N_i \right\rangle,$$

while

$$N_j \cap \left\langle \bigcup_{i \in I \setminus \{j\}} N_i \right\rangle = \langle 1 \rangle,$$

then $G \cong \prod_{i \in I}^w N_i$.

Proof. By Lemma 10.2, there is an obvious homomorphism from $\prod_{i \in I}^w N_i$ into G . It is surjective, since the N_i generate G . It is injective, since if $n \in N_j$ and $m \in \prod_{i \in I \setminus \{j\}}^w N_i$ and $nm = 1$, then $n = m^{-1}$, so n is also in $\left\langle \bigcup_{i \in I \setminus \{j\}} N_i \right\rangle$ and is therefore 1. \square

In the conclusion of the theorem, G is the **internal** weak direct product of the N_i .

11. PRESENTATION OF GROUPS

11.1. **Theorem.** *Every group is isomorphic to a quotient F/N , where F is a free group.*

Proof. Let F be the free group on G and let N be the kernel of the induced homomorphism from F onto G . \square

The normal subgroup **generated** by a subset of a group is the intersection of the normal subgroups that include the subset. If A is a set, F is a free group on A , and $B \subseteq F$, let N be the normal subgroup of F generated by B . Then the group F/N is the **group on A with relations B** , denoted by

$$\langle A \mid B \rangle.$$

Note that, strictly, this group is generated by the *image* of A , not by A itself; indeed, the natural map from A into $\langle A \mid B \rangle$ need not be injective.

11.2. **Theorem** (Dyck³). *If F is a free group on A , and B is included in the kernel of an epimorphism f from F into a group G (that is, the relations B hold in G), then f factors through $\langle A \mid B \rangle$.*

$$\begin{array}{ccc} F & \xrightarrow{f} & G \\ \downarrow & \nearrow & \\ \langle A \mid B \rangle & & \end{array}$$

In particular, $f = g \circ h$, where h is an epimorphism from $\langle A \mid B \rangle$ onto G .

11.3. **Example.** If F is the free group on A , then $F = \langle A \mid \emptyset \rangle$.

11.4. **Example.** $\mathbb{Z}/\langle n \rangle = \langle a \mid a^n \rangle$.

11.5. **Example.** $D_n = \langle a, b \mid a^n, b^2, (ab)^2 \rangle$.

³Walther von Dyck (1856–1934) gave an early (1882–3) definition of abstract groups [2, ch. 49, p. 1141].

Part 2. Analysis of groups

12. TWO

An **involution** is an element of order 2 in a group.

12.1. **Lemma.** *A group of even order contains an involution.*

Proof. Let $A = \{x \in G: x \neq x^{-1}\}$. Then

$$|G| = |A| + 1 + |\{x \in G: |x| = 2\}|.$$

Now, $|A|$ is even. If also $|G|$ is even, then $\{g \in G: |g| = 2\}$ must be non-empty. \square

12.2. **Theorem.** *A group of order twice an odd number contains a subgroup of index 2 (the subgroup is therefore normal).*

Proof. Say $|G| = 2m$; then G contains an element g of order 2. We embed G in $\text{Sym}(G)$; we can identify G with the image of this embedding. Now, λ_g is the product of m disjoint 2-cycles, an odd permutation. Hence the even permutations in G compose a subgroup of index 2. (That is, the homomorphism $x \mapsto \text{sgn}(x)$ from G to $\{\pm 1\}$ is surjective.) \square

13. FINITELY GENERATED ABELIAN GROUPS

A general problem now is to classify all groups: to find reasonable functions on the class of groups whose values at a given group determine the group up to isomorphism.

The problem is made easier if we restrict attention to a sub-class of groups: say, first, finitely generated abelian groups.

13.1. **Lemma.** *Suppose G is an abelian group generated by the subset $A \cup B$, where the elements of A have finite order, and elements of B , infinite order. Then G is the direct sum $\langle A \rangle \oplus \langle B \rangle$.*

Proof. Since G is abelian, all elements of $\langle A \rangle$ have finite order, but all nontrivial elements of $\langle B \rangle$ have infinite order. Hence $\langle A \rangle \cap \langle B \rangle = \langle 0 \rangle$, so Theorem 10.3 applies. \square

13.2. **Remark.** The proof fails if G is not abelian: $\langle a, b \mid a^2, b^2 \rangle$ has elements of infinite order, and $\langle c, d \mid (cd)^2 \rangle$ has nontrivial elements of finite order, but is generated by elements of infinite order.

A **basis** for a free abelian group is a subset on which the group is free (in the natural way). That is, suppose F is free abelian, and $A \subseteq F$. Then A is a basis of F if and only if the induced homomorphism from $\sum_{x \in A} \langle x \rangle$ into F is an isomorphism. We may then write $F = \sum_{x \in A} \langle x \rangle$. (However, this notation makes sense even if A is not a basis of F .)

The following three lemmas are based on [3, I, § 7].

13.3. **Lemma.** *If G and F are abelian groups, F being free, and if ϕ is an epimorphism from G onto F , then $G = H \oplus \ker \phi$ for some subgroup H of G that is isomorphic to F under ϕ .*

Proof. Let A be a basis of F , and let B be a subset of G mapped bijectively onto A by ϕ . Then let H be $\langle B \rangle$. \square

13.4. **Lemma.** *A subgroup of a free abelian group on a finite set is free.*

Proof. The claim is true for free groups on singletons. Suppose it is true for free groups on sets of size n . Let F be free on $\{x_0, \dots, x_n\}$, and say $G \leq F$. Let ϕ be the restriction to G of the epimorphism $\sum_{i=0}^n c_i x_i \mapsto c_n$ from F to \mathbb{Z} . By the previous lemma, $G = H \oplus \ker \phi$, where H is infinite-cyclic; by inductive hypothesis, $\ker \phi$ is free. \square

13.5. Lemma. *An abelian group generated by finitely many elements, all of infinite order, is free.*

Proof. Let A be a finite set of generators of the abelian group G , all elements of A having infinite order. Let $\{x_i : i < n\}$ be a maximal subset of A such that

$$\sum_{i < n} c_i x_i = 0 \implies \bigwedge_{i < n} c_i = 0$$

for all c_i in \mathbb{Z} . Hence, for every u in A , there are integers c_i and d in \mathbb{Z} such that

$$\sum_{i < n} c_i x_i + du = 0$$

and $u \neq 0$. Let F be $\langle x_i : i < n \rangle$; then F is free. Since A is finite, we have $dG \leq F$ for some integer d . By the previous lemma, dG must be free; but this is isomorphic to G . \square

13.6. Lemma. *Any finitely generated abelian group is the direct sum of a free group and a finite group.*

Proof. Any generating set of an abelian group G can be written $A \cup B$, where the elements of A have finite order, and the elements of B , infinite. Then $G = \langle A \rangle \oplus \langle B \rangle$. If A and B are finite, then $\langle B \rangle$ is free by the previous lemma, and $\langle A \rangle$ is finite. \square

Now we want to analyze finite abelian groups.

13.7. Lemma. *If G is finite abelian, and p is a prime dividing $|G|$, then G has an element of order p .*

Proof. Suppose the claim holds when $|G| < |H|$, and p divides $|H|$. Let x be a non-trivial element of H . If p divides $|x|$, then $(|x|/p)x$ has order p . Suppose p does not divide $|x|$. Then p divides $|G/\langle x \rangle|$. By inductive hypothesis, $G/\langle x \rangle$ has an element $u + \langle x \rangle$ of order p . Then $pu \in \langle x \rangle$, so p divides $|u|$, whence $(|u|/p)u$ has order p . \square

13.8. Lemma. *If G is abelian and $|G| = n_0 n_1$, where $\gcd(n_0, n_1) = 1$, then $G = H_0 \oplus H_1$ for some subgroups H_i such that $|H_i| = n_i$.*

Proof. Let $H_i = \{x \in G : n_i x = 0\}$; this is a subgroup of G since G is abelian. There are integers a_i such that

$$a_0 n_0 + a_1 n_1 = 1.$$

Hence we have

$$x \in H_0 \cap H_1 \implies n_0 x = 0 = n_1 x \implies x = (a_0 n_0 + a_1 n_1)x = 0$$

for all x in G ; also

$$x = (a_0 n_0 + a_1 n_1)x = a_0 n_0 x + a_1 n_1 x \in H_1 + H_0.$$

Therefore $G = H_0 \oplus H_1$. Finally, suppose if possible that p divides both $|H_i|$ and n_{1-i} . Then H_i has an element x of order p , but x is also in H_{1-i} , so $x = 0$, which is absurd. Hence $|H_i|$ divides n_i , so it is n_i . \square

By induction, we now have

13.9. **Theorem.** *If G is abelian and $|G| = \prod_{i < n} p_i^{a(i)}$, where $(p_i: i < n)$ is a tuple of distinct primes, then*

$$G = \sum_{i < n} H_i,$$

where $|H_i| = p_i^{a(i)}$.

13.10. **Theorem.** *If G is abelian and $|G| = p^n$, then G is a direct sum of cyclic groups.*

Proof. By induction on $|G|$, we shall show that if g is an element of G of maximal order, then

$$G = \langle g \rangle \oplus H$$

for some subgroup H of G . There are two cases:

Suppose first that $\langle g \rangle$ contains all elements of G of order p . The order of g is p^e for some e . Let ϕ be the endomorphism $x \mapsto px$ of G . Then $\ker \phi^e = G$, by maximality of $|g|$. But also, $\ker \phi \leq \langle g \rangle$, and therefore $\ker \phi$ is $\langle p^{e-1}g \rangle$, which has order p , which means $|\ker \phi^e| = p^e$. Therefore $G = \langle g \rangle$.

Now suppose on the contrary that G has an element h of order p that is not in $\langle g \rangle$. The order of any u in G is at least as great as the order of $u + \langle h \rangle$ in $G/\langle h \rangle$. The order of $g + \langle h \rangle$ is $|g|$ —and is therefore maximal—since if $mg \in \langle h \rangle$, then mg is in $\langle h \rangle \cap \langle g \rangle$, that is, $\langle 0 \rangle$. By inductive hypothesis then,

$$G/\langle h \rangle = \langle g + \langle h \rangle \rangle \oplus H/\langle h \rangle$$

for some subgroup H of G . Then $G = \langle g \rangle + H$, and in fact the sum is direct, since

$$z \in \langle g \rangle \cap H \implies z + \langle h \rangle \in \langle g + \langle h \rangle \rangle \cap H/\langle h \rangle = \langle h \rangle,$$

so if $z \in \langle g \rangle \cap H$, then $z \in \langle g \rangle \cap \langle h \rangle = \langle 0 \rangle$. □

13.11. **Theorem.** *A cyclic group of prime-power order has no proper non-trivial direct summand.*

Proof. All elements of $\sum_{i < n} \mathbb{Z}/\langle p^{a(i)} \rangle$ have order dividing p^b , where b is the maximum of the $a(i)$. □

So now the number of non-isomorphic abelian groups of a given finite order depends on the prime factorization of that order. In particular, the number of order p^n is the number of ways to write n as a sum:

13.12. **Example.** $400 = 2^4 \cdot 5^2$, and $4 = 1 + 3 = 2 + 2 = 1 + 1 + 2 = 1 + 1 + 1 + 1$, while $2 = 1 + 1$, so there are $5 \cdot 2$ or 10 non-isomorphic abelian groups of order 400.

13.13. **Theorem.** *If $\gcd(m, n) = 1$, then $\mathbb{Z}/\langle m \rangle \oplus \mathbb{Z}/\langle n \rangle = \mathbb{Z}/\langle mn \rangle$.*

Proof. If $g + \langle mn \rangle$ is in the kernel of $x + \langle mn \rangle \mapsto (x + \langle m \rangle, x + \langle n \rangle)$, then both m and n divide g , so mn divides g . So the map is injective; by counting, it is surjective. □

13.14. **Theorem.** *Any finite abelian group can be written*

$$\sum_{i < n} \mathbb{Z}/\langle m_i \rangle,$$

where m_i divides m_{i+1} .

14. ACTIONS OF GROUPS

See Appendix A for an alternative development.

An **action** of a group G on a set A is a homomorphism from G to $\text{Sym}(A)$; equivalently, it is a map

$$(x, \xi) \mapsto x\xi$$

from $G \times A$ to A such that $1a = a$ and $(gh)a = g(ha)$. (Strictly, we have defined a **left action**.)

14.1. **Example.** $\text{Sym}(A)$ acts on A in the obvious way.

14.2. **Example.** Left-multiplication, $x \mapsto \lambda_x$ from G to $\text{Sym}(G)$, is an action.

If G is a group, then the subgroup of $\text{Sym}(G)$ comprising the automorphisms of G can be denoted by

$$\text{Aut}(G).$$

If $g \in G$, then **conjugation** by g is the automorphism

$$x \mapsto gxg^{-1}.$$

Then G acts on itself by conjugation.

If G acts on A , and $a \in A$, then:

- the subset $\{x : xa = a\}$ of G is the **stabilizer** of a , denoted by G_a ;
- the subset $\{xa : x \in G\}$ of A is the **orbit** of a , denoted by Ga ;
- the subset $\{x : G_x = G\}$ of A can be denoted by A_0 .

14.3. **Lemma.** *Suppose G acts on A , and $a \in A$. Then:*

- (1) $G_a \leq G$;
- (2) $[G : G_a] = |Ga|$;
- (3) *the orbits partition A ;*
- (4) *if there are finitely many orbits, then*

$$|A| = |A_0| + \sum_{i < n} [G : G_{g(i)}]$$

(the **class equation**) for some $g(i)$ in G whose orbits are non-trivial.

If G is understood to act on itself by conjugation, and $g \in G$, then:

- G_0 is $C(G)$, the **center** of G ;
- G_g is $C_G(g)$, the **centralizer** of g .

So the elements of the center of the group commute with all elements of the group; in particular, the center is abelian and is a normal subgroup. The centralizer of g is the largest subgroup H of G such that g is in the center of H .

A **p -group** is a group whose order is a power of p .

14.4. **Lemma.** *If A is acted on by a p -group, then $|A| \equiv |A_0| \pmod{p}$.*

Proof. In the previous lemma, $[G : G_{g(i)}]$ is a multiple of p in each case. □

15. FINITE GROUPS

15.1. **Theorem.** *Every non-trivial p -group has non-trivial center.*

Proof. By the last lemma, we have

$$|G| \equiv |C(G)| \pmod{p},$$

so p divides $|C(G)|$. Since $C(G)$ contains one element, it contains at least p of them. \square

15.2. **Theorem.** *All groups of order p^2 are abelian.*

Proof. Let G have order p^2 . Then either $C(G)$ is all of G , or else $|C(G)| = p$, by the previous theorem. In any case, there is a in G such that

$$G = \langle \{a\} \cup C(G) \rangle.$$

Then every element of G has the form $a^n h$ for some n in \mathbb{Z} and h in $C(G)$. But

$$(a^m h)(a^n h') = a^m a^n h h' = a^n a^m h' h = (a^n h')(a^m h),$$

so G is abelian. \square

15.3. **Theorem** (Cauchy). *If p divides $|G|$, then $|g| = p$ for some g in G .*

Proof (J. H. McKay [4]). Let $A = \{x \in G^p : x_0 \cdots x_{p-1} = 1\}$. If $a \in A$ and $k < p$, then

$$(a_0 \cdots a_{k-1})^{-1} = a_k \cdots a_{p-1},$$

hence we have an action

$$(\xi, x) \mapsto x_\xi \cdots x_{p-1} x_0 \cdots x_{\xi-1}$$

of $\mathbb{Z}/\langle p \rangle$ on A , and $A_0 = \{x \in A : x_0 = \cdots = x_{p-1}\}$. Now, the map

$$x \mapsto (x_0, \dots, x_{p-2}, (x_0 \cdots x_{p-2})^{-1})$$

from G^{p-1} to A is a bijection, so $|A|$ is a multiple of p ; hence $|A_0|$ is a multiple of p , by Lemma 14.4. Since A_0 contains $(1, \dots, 1)$, it contains some (a, \dots, a) , where $|a| = p$. \square

15.4. **Lemma.** *A group is a p -group if and only if the order of every element is a power of p .*

Proof. If ℓ is a prime dividing $|g|$, then ℓ divides $|G|$. Conversely, if ℓ divides $|G|$, then G has an element of order ℓ . \square

Hence the definition of p -group can be generalized, so that an infinite group is a p -group if the order of its every element is a power of p .

A **p -subgroup** is a subgroup that is a p -group. A **Sylow p -subgroup** is a maximal p -subgroup.

If $H \leq G$, then the set $\{x \in G : xHx^{-1} = H\}$ is the **normalizer** of H in G , denoted by

$$N_G(H);$$

it is the largest subgroup of G of which H is a normal subgroup.

15.5. **Lemma.** *If H is a p -subgroup of G , then*

$$[G : H] \equiv [N_G(H) : H] \pmod{p}.$$

Proof. Let H act on the set G/H of cosets by left multiplication. Then

$$(G/H)_0 = N_G(H)/H,$$

since the following are equivalent:

- (1) $gH \in (G/H)_0$;
- (2) $hgH = gH$ for all h in H ;
- (3) $g^{-1}hg \in H$ for all h in H ;
- (4) $g^{-1}Hg = H$;
- (5) $g^{-1} \in N_G(H)$;
- (6) $g \in N_G(H)$.

Now use Lemma 14.4. □

15.6. Theorem (Sylow I). *Of a finite group, every p -subgroup is included in a Sylow group, whose index is not a multiple of p .*

Proof. Suppose G has a p -subgroup H whose index is a multiple of p . By the lemma, p divides $[N_G(H) : H]$. By Cauchy's Theorem, since $N_G(H)/H$ is a group, it has a subgroup K/H of order p . So K is a p -subgroup of G that includes H . Repetition yields the claim. □

15.7. Theorem (Sylow II). *All Sylow p -subgroups are conjugate.*

Proof. Say H and P are p -subgroups of G , where P is maximal. Now, H acts on the set G/P by left multiplication. Then the following are equivalent:

- (1) $xP \in (G/P)_0$;
- (2) $hxP = xP$ for all h in H ;
- (3) $x^{-1}Hx \subseteq P$;
- (4) $H \subseteq xPx^{-1}$.

Since $[G : P]$ is not a multiple of p , the claim follows by Lemma 14.4. □

15.8. Theorem (Sylow III). *The number of Sylow p -subgroups of a finite group is congruent to 1 modulo p and divides the order of the group.*

Proof. Let A be the set of Sylow p -subgroups of a finite group G . Then G acts on A by conjugation. Let $H \in A$. Then the orbit of H is precisely A , and the stabilizer of H is $N_G(H)$. Hence

$$[G : N_G(H)] = |A|,$$

so $|A|$ divides $|G|$.

Now consider H as acting on A by conjugation. Then

$$|A| \equiv |A_0| \pmod{p}.$$

The following are equivalent:

- (1) $P \in A_0$;
- (2) $H \leq N_G(P)$;
- (3) H is a Sylow subgroup of $N_G(P)$.

But $P \triangleleft N_G(P)$, so P is the unique Sylow p -subgroup of $N_G(P)$. Therefore

$$|A| \equiv 1 \pmod{p},$$

since $A_0 = \{H\}$. □

15.9. Lemma. *Suppose p and q are distinct primes such that $q \not\equiv 1 \pmod{p}$, and $|G| = pq$. Then G has a unique Sylow p -subgroup, which is therefore normal.*

Proof. Let A be the set of Sylow p -subgroups of G . Then $|A| \equiv 1 \pmod{p}$, so $|A|$ is not q or pq ; but $|A|$ divides pq ; so $|A| = 1$. □

15.10. **Theorem.** Suppose p and q are primes such that $q \not\equiv 1 \pmod{p}$ and $p < q$, and $|G| = pq$. Then G is cyclic.

Proof. By the Lemma, G has normal subgroups of orders q and p ; their intersection must be trivial, so their product is G . \square

15.11. **Lemma.** $(\mathbb{Z}/\langle p \rangle)^\times \cong \mathbb{Z}/\langle p-1 \rangle$.

Proof. $(\mathbb{Z}/\langle p \rangle)^\times$ is isomorphic to

$$\sum_{i < n} \mathbb{Z}/\langle m_i \rangle \oplus \mathbb{Z}/\langle k \rangle,$$

where m_i divides m_{i+1} , and $m_{n-1} \mid k$. Hence every element of $(\mathbb{Z}/\langle p \rangle)^\times$ is a solution of

$$X^k = 1.$$

But this polynomial can have at most k solutions in $\mathbb{Z}/\langle p \rangle$, since this is a *field*. Hence $p-1 \leq k$, so $p-1 = k$, and $n = 0$. \square

Suppose N and H are groups, and $x \mapsto \sigma_x$ is an action of H on N . For all n and n' in N , and g and g' in H , we have

$$\begin{aligned} \lambda_n \circ \sigma_g \circ \lambda_{n'} \circ \sigma_{g'} &= \lambda_n \circ \sigma_g \circ \lambda_{n'} \circ \sigma_{g^{-1}} \circ \sigma_g \circ \sigma_{g'} \\ &= \lambda_n \circ \lambda_{\sigma_g(n')} \circ \sigma_g \circ \sigma_{g'} \\ &= \lambda_{n \cdot \sigma_g(n')} \circ \sigma_{g \cdot g'}. \end{aligned}$$

Hence there is a group-structure on $N \times H$ with multiplication given by

$$(n, g) \cdot (n', g') = (n \cdot \sigma_g(n'), g \cdot g').$$

(This is so, even if $x \mapsto \sigma_x$ is not injective.) The group itself is the **semi-direct product** of N and H with respect to σ , and it can be denoted by

$$N \rtimes_\sigma H.$$

The images of N and H in this group have trivial intersection, and the image of N is a normal subgroup.

A special case arises when N and H are already subgroups of some group G . If $H \trianglelefteq N_G(N)$, then $N \trianglelefteq \langle N \cup H \rangle$, so the latter group has the universe NH . If also $N \cap H = \langle 1 \rangle$, then NH has the structure of an **internal semi-direct product**, which can be denoted by

$$N \rtimes H;$$

it is isomorphic to $N \rtimes_\sigma H$, where

$$\sigma_g(n) = gng^{-1}.$$

15.12. **Example.** Every automorphism of $\mathbb{Z}/\langle n \rangle$ is $x \mapsto a \cdot x$ for some a that is prime to n . Let t be the order of this automorphism. Then there is an action $x \mapsto \sigma_x$ of $\mathbb{Z}/\langle t \rangle$ on $\mathbb{Z}/\langle n \rangle$, where σ_1 is $x \mapsto a \cdot x$. We can therefore construct

$$\mathbb{Z}/\langle n \rangle \rtimes_\sigma \mathbb{Z}/\langle t \rangle,$$

where the multiplication is given by

$$(x, y)(x', y') = (x + a^y \cdot x', y + y').$$

15.13. **Lemma.** For every prime p , for every prime divisor q of $p-1$, there is a unique non-abelian semi-direct product $\mathbb{Z}/\langle p \rangle \rtimes \mathbb{Z}/\langle q \rangle$.

Proof. As $(\mathbb{Z}/\langle p \rangle)^\times$ is cyclic, it has a unique subgroup G of order q . As q is prime, every non-trivial element of G is a generator. If $a \in G \setminus \{1\}$, let $x \mapsto \sigma_x$ be the action of $\mathbb{Z}/\langle q \rangle$ on $\mathbb{Z}/\langle p \rangle$ that takes 1 to $x \mapsto a \cdot x$. Then we can form

$$\mathbb{Z}/\langle p \rangle \rtimes_\sigma \mathbb{Z}/\langle q \rangle.$$

If $\mathbb{Z}/\langle p \rangle \rtimes_\tau \mathbb{Z}/\langle q \rangle$ is some other non-abelian semi-direct product, then τ_1 is $x \mapsto b \cdot x$ for some b in $G \setminus \{1\}$. But then $b^n = a$ for some n , so there is an isomorphism from $\mathbb{Z}/\langle p \rangle \rtimes_\sigma \mathbb{Z}/\langle q \rangle$ to $\mathbb{Z}/\langle p \rangle \rtimes_\tau \mathbb{Z}/\langle q \rangle$ that takes (x, y) to (x, ny) . \square

15.14. Theorem. *Suppose p and q are prime, with $p \equiv 1 \pmod{q}$, and $|G| = pq$. Then either G is cyclic, or G is the non-abelian semi-direct product $\mathbb{Z}/\langle p \rangle \rtimes \mathbb{Z}/\langle q \rangle$.*

Proof. Since $q \not\equiv 1 \pmod{p}$, the group G has a unique Sylow p -subgroup N , which is a normal subgroup of order p . But G also has a subgroup H of order q . Then G is the internal semi-direct product $N \rtimes H$. \square

16. NILPOTENT GROUPS

The **commutator** of two elements a and b of G is the element

$$aba^{-1}b^{-1},$$

denoted by $[a, b]$. Then

$$C(G) = \{g \in G : \forall x [g, x] = 1\}.$$

We can generalize this definition, letting

$$\begin{aligned} C_0(G) &= \langle 1 \rangle, \\ C_{n+1}(G) &= \{g \in G : \forall x [g, x] \in C_n(G)\}. \end{aligned}$$

Then $C(G) = C_1(G)$, and

$$C_n(G) = \{g \in G : \forall x_1 \dots \forall x_n [\dots [g, x_1], \dots x_n] = 1\}.$$

16.1. Lemma. $C_n(G) \trianglelefteq G$ and $C_n(G) \leq C_{n+1}(G)$ and

$$C_{n+1}(G)/C_n(G) = C(G/C_n(G)).$$

Proof. We have $C_0(G) \trianglelefteq G$. Suppose $C_k(G) \trianglelefteq G$. Then the following are equivalent:

- (1) $g \in C_{k+1}(G)$;
- (2) $\forall x [g, x] \in C_k(G)$;
- (3) $\forall x gxg^{-1}x^{-1} \in C_k(G)$;
- (4) $\forall x C_k(G)gx = C_k(G)yg$;
- (5) $C_k(G)g \in C(G/C_k(G))$.

Thus $C_k(G) \leq C_{k+1}(G)$, and $C_{k+1}(G)/C_k(G) = C(G/C_k(G))$; in particular,

$$C_{k+1}(G)/C_k(G) \trianglelefteq G/C_k(G),$$

so $C_{k+1}(G) \trianglelefteq G$. \square

So we have the **ascending central series** of G :

$$\langle 1 \rangle \trianglelefteq C(G) \trianglelefteq C_2(G) \trianglelefteq C_3(G) \trianglelefteq \dots$$

A group is called **nilpotent** if this series reaches the group itself. So an abelian group is nilpotent, since its center is itself.

16.2. Theorem. *Finite p -groups are nilpotent.*

Proof. Suppose G is a p -group. If H is a proper normal subgroup of G , then G/H is a non-trivial p -group, so it has a non-trivial center. Therefore the ascending central series of G is strictly increasing, until it reaches G itself. \square

16.3. Theorem. *A finite direct product of nilpotent groups is nilpotent.*

Proof. The definition shows

$$C_n\left(\prod_{i \in I} G_i\right) = \prod_{i \in I} C_n(G_i).$$

If I is finite, and each $C_n(G_i)$ reaches G_i for some n , then so must $C_n(G)$. \square

16.4. Lemma. $C_n(G) \leq H \leq C_{n+1}(G) \implies C_{n+1}(G) \leq N_G(H)$.

Proof. Say $a \in C_{n+1}(G)$. If $h \in H$, then $[a, h] \in C_n(G)$, so $aha^{-1} \in C_n(G)h \subseteq H$. Therefore $aHa^{-1} \subseteq H$, so $a \in N_G(H)$. \square

16.5. Lemma. *If G is nilpotent, and $H \leq G$, but $H \neq G$, then $H \neq N_G(H)$.*

Proof. Let n be maximal such that $C_n(G) \leq H$. Then $C_{n+1}(G) \setminus H$ is non-empty, but contains members of $N_G(H)$. \square

16.6. Theorem. *A finite group is nilpotent if and only if it is the direct product of its Sylow subgroups.*

Proof. Suppose G is a finite nilpotent group, and P is a Sylow p -subgroup. We shall show that $P \trianglelefteq G$. To do this, it is enough to show $N_G(P) = G$. To do *this*, it is enough to show $N_G(N_G(P)) \leq N_G(P)$. To do *this*, note that, as $P \trianglelefteq N_G(P)$, so P is the unique Sylow p -subgroup of $N_G(P)$. Hence, in particular, for any x in G , if $xPx^{-1} \leq N_G(P)$, then $xPx^{-1} = P$, so $x \in N_G(P)$. But every x in $N_G(N_G(P))$ satisfies the hypothesis.

Let I comprise the prime divisors of $|G|$. For each p in I , the group G has a unique Sylow p -subgroup, P_p . Then the homomorphism

$$(x_p : p \in I) \mapsto \prod_{p \in I} x_p$$

from $\prod_{p \in I} P_p$ to G is a well-defined isomorphism. \square

17. SOLUBLE GROUPS

The **commutator subgroup** of a group G is the subgroup

$$\langle [x, y] : (x, y) \in G^2 \rangle,$$

which is denoted by G' .

17.1. Theorem. *G' is the smallest of the normal subgroups N of G such that G/N is abelian.*

Proof. If $f \in \text{Aut}(G)$, then $f(G') \leq G'$. In particular, $xG'x^{-1} \leq G'$ for all x in G ; so $G' \trianglelefteq G$. Suppose $N \trianglelefteq G$; then the following are equivalent:

- (1) G/N is abelian;
- (2) $N = [xN, yN] = [x, y]N$ for all $(x, y) \in G^2$;
- (3) $G' \leq N$.

This completes the proof. \square

We now define the **derived subgroups** $G^{(n)}$ of G by

$$\begin{aligned} G^{(0)} &= G, \\ G^{(n+1)} &= (G^{(n)})'. \end{aligned}$$

We have a descending sequence

$$G \supseteq G' \supseteq G^{(2)} \supseteq \dots$$

The group G is called **soluble** if this sequence reaches $\langle 1 \rangle$.

17.2. Theorem. *Nilpotent groups are soluble.*

Proof. Each $C_{k+1}(G)/C_k(G)$ is a center (of some group, namely $G/C_k(G)$), so it is abelian, and therefore

$$C_{k+1}(G)' \leq C_k(G).$$

Suppose G is nilpotent, that is, $G^{(0)} \leq G \leq C_n(G)$ for some n . If also

$$G^{(k)} \leq C_{n-k}(G)$$

for some k in n , then

$$G^{(k+1)} = (G^{(k)})' \leq C_{n-k}(G)' \leq C_{n-(k+1)}(G).$$

By induction then, $G^{(n)} \leq C_0(G) = \langle 1 \rangle$. □

The proof can be seen as the construction of the following commutative diagram, in which the arrows are inclusions:

$$\begin{array}{cccccccc} G & \longleftarrow & G' & \longleftarrow & G^{(2)} & \longleftarrow & G^{(3)} & \longleftarrow \dots \\ \downarrow & & \downarrow & & \downarrow & & \downarrow & \\ G & \longleftarrow & C_n(G)' & \longleftarrow & C_{n-1}(G)' & \longleftarrow & C_{n-2}(G)' & \longleftarrow \dots \\ \downarrow & & \downarrow & & \downarrow & & \downarrow & \\ C_n(G) & \longleftarrow & C_{n-1}(G) & \longleftarrow & C_{n-2}(G) & \longleftarrow & C_{n-3}(G) & \longleftarrow \dots \end{array}$$

17.3. Lemma. *Solubility is preserved in subgroups and quotients. If $N \trianglelefteq G$, and N and G/N are soluble, then G is soluble.*

Proof. Suppose f is a homomorphism from G to H . Then $f(G^{(n)}) \leq H^{(n)}$, with equality if f is surjective. In particular then:

- If H is soluble, and f is an inclusion, then G is soluble.
- If G is soluble, and f is the quotient-map onto G/N , then this is soluble.

If $(G/N)^{(n)} = \langle 1 \rangle$, then $G^{(n)} \leq N$; if also $N^{(m)} = \langle 1 \rangle$, then $G^{(n+m)} = \langle 1 \rangle$. □

17.4. Theorem. *Groups with non-abelian simple subgroups are not soluble. In particular, $\text{Sym}(5)$ is not soluble if $n \geq 5$.*

18. NORMAL SERIES

A **normal series** for a group G is a decreasing chain

$$G = G_0 \triangleright G_1 \triangleright G_2 \triangleright \cdots .$$

(If one wants to distinguish, one may call this a *subnormal series*, normal if each G_i is normal in G .) The **factors** of the normal series are the quotients G_i/G_{i+1} . If $G_n = \langle 1 \rangle$ for some n , then the series is called

- a **composition series**, if the factors are simple;
- a **soluble series**, if the factors are abelian.

18.1. **Example.** If G is nilpotent, then the series

$$\langle 1 \rangle \trianglelefteq C(G) \trianglelefteq C_2(G) \trianglelefteq \cdots \trianglelefteq G$$

is a soluble series. If G is soluble, then the series

$$G \triangleright G' \triangleright G^{(2)} \triangleright \cdots \triangleright \langle 1 \rangle$$

is a soluble series.

18.2. **Theorem.** *Every finite group has a composition series.*

Proof. A finite group G has a maximal proper normal subgroup N (which need not be unique); then G/N is simple. \square

(Every group has maximal proper normal subgroups, by Zorn's Lemma.)

18.3. **Theorem.** *Groups with soluble series are soluble.*

Proof. If the series

$$G \triangleright G_1 \triangleright G_2 \triangleright \cdots \triangleright G_n = \langle 1 \rangle$$

is soluble, then $G^{(i)} \leq G_i$ in each case. \square

As a normal series, a composition series is maximal in that no distinct term can be inserted, that is, an inserted term introduces no new non-trivial factors.

In a soluble series for a finite group, terms can be added so that the non-trivial factors are cyclic of prime order.

Any normal series is **equivalent** with the series that results when all repeated terms are deleted (so that all trivial factors are removed). Then two normal series

$$G_i(0) \triangleright G_i(1) \triangleright G_i(2) \triangleright \cdots \triangleright G_i(n)$$

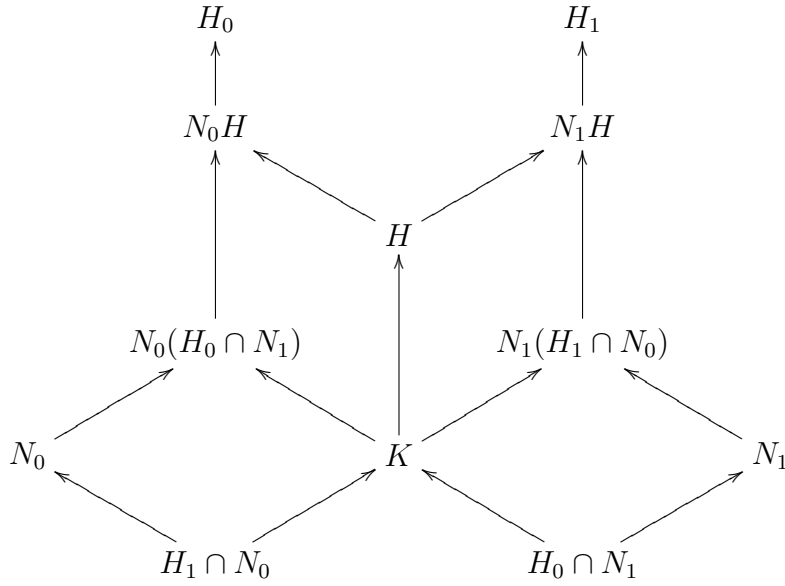
(where $i < 2$) with no trivial factors are **equivalent** if there is σ in $\text{Sym}(n)$ such that

$$G_0(i)/G_0(i+1) \cong G_1(\sigma(i))/G_1(\sigma(i+1))$$

for each i in n .

18.4. **Lemma** (Zassenhaus or Butterfly). *Suppose $N_i \trianglelefteq H_i \leq G$ for each i in 2 . Let $H = H_0 \cap H_1$. Then:*

- (1) $N_i(H_i \cap N_{1-i}) \trianglelefteq N_i H$ for each i ;
- (2) the two groups $N_i H / N_i(H_i \cap N_{1-i})$ are isomorphic.



Proof. We have $H_i \cap N_{1-i} \trianglelefteq H$. Let

$$K = (H_0 \cap N_1)(H_1 \cap N_0);$$

then $K \trianglelefteq H$. It is now enough to exhibit an epimorphism from $N_i H$ onto H/K with kernel $N_i(H_i \cap N_{1-i})$. If $n, n' \in N_i$ and $h, h' \in H$ and $nh' = n'h$, then

$$h'h^{-1} = n^{-1}n' \in N_i \cap H \leq K,$$

so that $Nh = Nh'$. Hence there is a well-defined homomorphism f from $N_i H$ into H/K that, when $(n, h) \in N_i \times H$, takes nh to Kh . That f is surjective is clear. Moreover, the following are equivalent:

- (1) $nh \in \ker f$;
- (2) $h \in K$;
- (3) $h = n_0 n_1 = n_1 n_0$ for some n_i in $H_{1-i} \cap N_i$;

Also, (3) implies $nh \in N_i(H_i \cap N_{1-i})$. Conversely, suppose this last statement holds. Then also $h \in N_i(H_i \cap N_{1-i})$, so $h = n'h'$ for some n' in N_i and h' in $H_i \cap N_{1-i}$. Then $n' = h(h')^{-1} \in H_{1-i}$, so $n' \in H_{1-i} \cap N_i$, and therefore $h \in K$. \square

18.5. **Theorem** (Schreier). *Any two normal series have equivalent refinements.*

Proof. Suppose that

$$G = G_i(0) \triangleright G_i(1) \triangleright \cdots \triangleright G_i(n_i) = \langle 1 \rangle,$$

where $i < 2$, are normal series for G . In particular,

$$G_i(j+1) \trianglelefteq G_i(j) \leq G.$$

Define

$$G_i(j, k) = G_i(j+1)(G_i(j) \cap G_{1-i}(k)),$$

where $(j, k) \in n_i \times n_{1-i}$. Then

$$G_i(j) = G_i(j, 0) \triangleright G_i(j, 1) \triangleright \cdots \triangleright G_i(j, n_{1-i} - 1) \triangleright G_i(j, n_{1-i}) = G_i(j+1),$$

giving us normal series that are refinements of the original ones; but also

$$G_0(j, k)/G_0(j, k+1) \cong G_1(k, j)/G_1(k, j+1),$$

completing the proof. □

18.6. Theorem (Jordan–Hölder). *Any two composition series of a group are equivalent.*

Part 3. Rings

19. RINGS

A **ring** is a structure (\mathfrak{R}, \cdot) such that:

- \mathfrak{R} is an abelian group;
- (R, \cdot) is a semi-group;
- $\forall x \forall y \forall z (x \cdot (y + z) = xy + xz \ \& \ (x + y) \cdot z = xz + yz)$.

That is, a ring is an abelian group with a **multiplication**, that is, an associative operation that distributes over addition. An **identity** in the ring is a multiplicative identity: an element 1 such that $(R, \cdot, 1)$ is a monoid. A ring is **commutative** if the multiplication is commutative.

19.1. **Example.** $\mathbb{Z}/\langle n \rangle$ is a commutative ring (with identity) for all n in \mathbb{Z} .

19.2. **Example.** The set $M_n(\mathbb{Z})$ of $n \times n$ matrices with entries from \mathbb{Z} is a ring with identity, non-commutative if $n > 1$.

19.3. **Example.** The set of continuous functions on \mathbb{R} with compact support is a commutative ring without identity.

A **ring-homomorphism** has the obvious definition: a group-homomorphism preserving multiplication. A homomorphism of rings-with-identity preserves the identity.

For an abelian G , let $\text{End}(G)$ be the set of **endomorphisms** of G , that is, homomorphisms from G to itself.

19.4. **Lemma.** *If G is an abelian group, then $\text{End}(G)$ is an abelian group with addition given by*

$$(f + g)(x) = f(x) + g(x),$$

and $(\text{End}(G), \circ, \text{id}_G)$ is a ring with identity. The map taking an integer n to the map $x \mapsto nx$ from G to itself is a homomorphism from the ring-with-identity \mathbb{Z} to $(\text{End}(G), \circ, \text{id}_G)$.

The symbols 0 and 1 can stand for integers or ring-elements, but there is no ambiguity. For ring-elements x we have $1 \cdot x = x = 1x$ by definition. Also:

19.5. **Lemma.** *If x is a ring-element, then $0 \cdot x = 0$. If y is also a ring-element, and $n \in \mathbb{Z}$, then $nx \cdot y = n(x \cdot y) = x \cdot ny$.*

If a is a ring-element, then we can let λ_a be the map $x \mapsto a \cdot x$ from the ring to itself.

19.6. **Lemma.** *Let (\mathfrak{R}, \cdot) be a ring. Then the map $x \mapsto \lambda_x$ is a homomorphism from (\mathfrak{R}, \cdot) into $(\text{End}(\mathfrak{R}), \circ)$; it preserves the identity, if the ring has one.*

The **characteristic** of a ring R is the non-negative integer n such that $\mathbb{Z}/\langle n \rangle$ is the kernel of the homomorphism from \mathbb{Z} to $\text{End}(R)$. This kernel is the kernel of $n \mapsto n1$, if R has an identity.

19.7. **Example.** If $0 \leq n$, then $\mathbb{Z}/\langle n \rangle$ has characteristic n .

19.8. **Theorem.** *Any ring embeds in a ring with identity; the latter ring can have the characteristic of the former, or characteristic 0.*

Proof. Suppose R is a ring of characteristic n . Let A be \mathbb{Z} or $\mathbb{Z}/\langle n \rangle$, and give $A \oplus R$ the multiplication defined by

$$(m, x)(n, y) = (mn, my + nx + xy);$$

then $(1, 0)$ is an identity, and $x \mapsto (0, x)$ is an embedding. □

Henceforth let us understand ‘ring’ to mean ‘ring with identity’.

Let R be a commutative ring (with identity). A **unit** of R is an element a such that $ax = 1$ for some x in R . The set of units of R is denoted by

$$R^\times;$$

this is a group under multiplication. A **zero-divisor** of R is a element b distinct from 0 such that $bx = 0$ for some x in R . So zero-divisors are not units. (The unique element of the trivial ring is a unit, but not a zero-divisor.) The ring R is a **field** if $R \setminus \{0\} \subseteq R^\times$. So fields have no zero-divisors. The ring R is merely an **integral domain** if it has no zero-divisors.

19.9. **Example.** \mathbb{Z} is an integral domain, but not a field; \mathbb{Q} is a field.

19.10. **Example.** If $m > 1$ and $n > 1$, then $m + \langle mn \rangle$ and $n + \langle mn \rangle$ are zero-divisors in $\mathbb{Z}/\langle mn \rangle$. If p is prime, then $\mathbb{Z}/\langle p \rangle$ is a field, denoted by \mathbb{F}_p . Also, $\mathbb{Z}/\langle 1 \rangle$ is the trivial ring, which by our definition is a field, \mathbb{F}_1 (although some writers would require $0 \neq 1$ in an integral domain).

One can discuss these notions in non-commutative rings:

19.11. **Example.** The real vector-space with basis $\{1, i\}$ becomes the complex field \mathbb{C} when we define $i^2 + 1 = 0$. The field-structure has the non-trivial automorphism $z \mapsto \bar{z}$, where $\overline{a + bi} = a - bi$. The complex vector-space with basis $\{1, j\}$ becomes a non-commutative ring, the ring \mathbb{H} of **quaternions**, when $j^2 + 1 = 0$ and $j \cdot z = \bar{z}j$ if $z \in \mathbb{C}$. We have

$$(z + wj)(\bar{z} - wj) = z\bar{z} + w\bar{w} \in \mathbb{R},$$

so all non-zero elements of \mathbb{H} can be called units, and \mathbb{H} is a **division-ring**.

20. IDEALS

If A is a sub-ring of R , then we can form the abelian group R/A . We could try to define a multiplication on this by

$$(x + A)(y + A) = xy + A.$$

However, if $x - x' \in A$, and $y - y' \in A$, we need not have $xy - x'y' \in A$.

A **left ideal** of R is a sub-ring I such that

$$RI \subseteq I,$$

that is, $rx \in I$ whenever $r \in R$ and $x \in I$. Likewise, **right** and **two-sided** ideal.

20.1. **Theorem.** *If I is a two-sided ideal of R , then R/I is a well-defined ring. The kernel of a ring-homomorphism is a two-sided ideal.*

20.2. **Example.** The set of matrices

$$\begin{bmatrix} * & 0 & \dots & 0 \\ \vdots & \vdots & & \vdots \\ * & 0 & \dots & 0 \end{bmatrix}$$

is a left ideal of $M_n(\mathbb{Z})$, but not a right ideal unless $n = 1$.

20.3. **Example.** Rx is a left ideal; RxR is a two-sided ideal.

Suppose $(A_i: i \in I)$ is a tuple of left ideals of a ring R . Let the abelian sub-group of R generated by $\bigcup_{i \in I} A_i$ be denoted by

$$\sum_{i \in I} A_i;$$

this is the **sum** of the ideals A_i . Suppose in particular $I = n$. Let the abelian sub-group of R generated by

$$\{a_0 \cdots a_{n-1}: a_i \in A_i\}$$

be denoted by

$$A_0 \cdots A_{n-1};$$

this is the **product** of the ideals A_i .

20.4. Lemma. *Sums and finite products of left ideals are left ideals; sums and products of two-sided ideals are two-sided ideals. Addition and multiplication of ideals are associative; addition is commutative; multiplication distributes over addition.*

20.5. Lemma. *If A and B are left ideals of a ring, then so is $A \cap B$, and $AB \subseteq A \cap B$.*

20.6. Lemma. *If $f: R \rightarrow S$, a homomorphism of rings, and I is a two-sided ideal of R included in $\ker f$, then there is a unique homomorphism \tilde{f} from R/I to S such that $f = \tilde{f} \circ \pi$.*

Hence the isomorphism theorems, as for groups.

21. COMMUTATIVE RINGS

Henceforth, let all rings be commutative, so all ideals are two-sided. Also, let all rings have identities. An subset A of a ring R determines an ideal

$$(A),$$

namely the smallest ideal including A .

21.1. Lemma. *(A) is the set of finite R -linear combinations $\sum_{a \in A} r_a a$, where $r_a \in R$ (and $r_a = 0$ for all but finitely many a).*

If $A = \{a\}$, then (A) is the **principal ideal** (a) . A **principal ideal domain** or PID is an integral domain whose every ideal is principal.

21.2. Example. \mathbb{Z} is a PID.

21.3. Example. In the polynomial ring $\mathbb{R}[X, Y]$, the ideal (X, Y) is not principal.

An ideal is proper if and only if it does not contain a unit. A *proper* ideal I is **prime** if

$$ab \in I \implies a \in I \vee b \in I.$$

So a ring is an integral domain if and only if (0) is a prime ideal.

21.4. Theorem. *A proper ideal I of a ring R is prime if and only if R/I is an integral domain.*

Proof. That I is prime means

$$ab \in I \implies a \in I \vee b \in I.$$

That R/I is integral means

$$(a + I)(b + I) = I \implies a + I = I \vee b + I = I.$$

These characterizations are equivalent. \square

An ideal is called **maximal** if it is maximal as a proper ideal. A ring is a field if and only if (0) is a maximal ideal.

21.5. Theorem. *A proper ideal I of a ring R is maximal if and only if R/I is a field.*

Proof. That R/I is a field means that, if $a \in R \setminus I$, then

$$ab \in 1 + I$$

for some b . That I is maximal means that, if $a \in R \setminus I$, then

$$I + (a) = R,$$

equivalently,

$$1 \in I + (a),$$

that is, $ba \in 1 + I$ for some b . \square

21.6. Corollary. *Maximal ideals are prime.*

The converse?

21.7. Example. The prime ideals of \mathbb{Z} are the ideals (0) and (p) , where p is prime; the latter are maximal.

A ring is **Boolean** if it satisfies

$$\forall x \ x \cdot x = x.$$

21.8. Example. A power-set is a Boolean ring if multiplication is intersection and addition is symmetric difference.

21.9. Theorem. *In Boolean rings, all prime ideals are maximal.*

Proof. In a Boolean ring, we have

$$x + x = (x + x)^2 = x^2 + 2x + x^2 = x + 2x + x,$$

so $2x = 0$. Hence

$$x(1 + x) = x + x = 0.$$

Therefore there are no Boolean integral domains besides \mathbb{F}_2 , which is a field. \square

In \mathbb{Z} , the ideal (a, b) is the principal ideal generated by $\gcd(a, b)$. So a and b are co-prime if $(a, b) = \mathbb{Z}$.

21.10. Theorem (Chinese remainder). *Suppose R has ideals I_i ($i < n$) such that $I_i + I_j = R$ in each case. Then*

$$R / \bigcap_{i < n} I_i = \sum_{i < n} R / I_i.$$

Proof. We have to show that the map

$$x \mapsto (x + I_i : i < n)$$

from R to $\sum_{i < n} R/I_i$ is surjective. Say $x_i \in I_i$. If $n = 2$, then $a_0 + a_1 = 1$ for some a_i in I_i . But then

$$a_0x_1 + a_1x_0 + I_i = a_0(x_1 - x_0)a_{1-i}x_i + I = x_i + I$$

since $a_{1-i} = 1 - a_i$. □

22. FACTORIZATION

(Recall that all rings are now commutative with identity.) In a ring R , an element a is a **divisor** of b , or a **divides** b ,

$$a \mid b,$$

if $ax = b$ for some x in R . Two elements that divide each other are **associates**.

22.1. Lemma. *In any ring:*

- $a \mid b \iff (b) \subseteq (a)$;
- a and b are associates if and only if $(a) = (b)$.

Suppose $a = bx$.

- If x is a unit, then a and b are associates.
- If b is a zero-divisor or 0, then so is a .
- If a is a unit, then so is b .

22.2. Example. In $\mathbb{Z}/6\mathbb{Z}$, the elements 1 and 5 are units; the other non-zero elements are zero-divisors. Of these, 2 and 4 are associates, since $2 \cdot 2 = 4$ and $4 \cdot 2 \equiv 2 \pmod{6}$; but 3 is not an associate of these.

A ring-element is **irreducible** if it is not a unit, and its only factors are associates and units. So the element is irreducible just in case the ideal it generates is maximal amongst the proper principal ideals.

22.3. Example. In $\mathbb{R}[X, Y]$, the element X is irreducible, although (X) is not a maximal ideal.

A (non-zero) ring-element is **prime** if the ideal it generates is prime. So p is prime just in case

$$p \mid ab \implies p \mid a \vee p \mid b.$$

22.4. Example. The primes of \mathbb{Z} are the integers $\pm p$, where p is a prime natural number. The primes of \mathbb{Z} are just the irreducibles of \mathbb{Z} .

22.5. Example. In $\mathbb{Z}/6\mathbb{Z}$, the element 2 is prime but not irreducible.

22.6. Example. Let $\mathbb{Z}[\sqrt{-5}]$ be the smallest sub-ring of \mathbb{C} containing the integers and $\sqrt{-5}$; so it consists of sums

$$a + b\sqrt{-5},$$

where a and b are integers. We have

$$2 \cdot 3 = 6 = (1 + \sqrt{-5})(1 - \sqrt{-5}).$$

The elements 2, 3 and $1 \pm \sqrt{-5}$ are irreducible. For example, suppose $2 = \alpha\beta$, where $\alpha = a + b\sqrt{-5}$ and $\beta = c + d\sqrt{-5}$. Then $4 = |\alpha|^2 |\beta|^2$. We are now in \mathbb{Z} , so $(|\alpha|^2, |\beta|^2) \in \{(1, 4), (2, 2), (4, 1)\}$, which is impossible if a, b, c and d are integers. Evidently, for example, 2 does not divide $1 \pm \sqrt{-5}$; so 2 is not prime.

22.7. **Theorem.** *In an integral domain, if a and b are non-zero associates, and $a = bx$, then x is a unit.*

Proof. We have also $b = ay = bxy$, $b(1 - xy) = 0$, $1 = xy$ since $b \neq 0$ and we are in an integral domain. \square

22.8. **Corollary.** *In an integral domain, prime elements are irreducible.*

Proof. If p is prime, and $p = ab$, then p is an associate of a or b , so the other is a unit. \square

A **unique factorization domain** is an integral domain whose every element is ‘uniquely’ a product of irreducibles. In such a domain, by the uniqueness, irreducibles are prime. Moreover, two elements have a **greatest common divisor**; for any two elements can be written

$$u \prod_{i < n} \pi_i^{a(i)} \quad \text{and} \quad v \prod_{i < n} \pi_i^{b(i)},$$

where u and v are units and the π_i are irreducibles; the g.c.d. is then

$$\prod_{i < n} \pi_i^{\min(a(i), b(i))},$$

which is determined up to a unit factor.

22.9. **Lemma.** *In a UFD, an element d is a g.c.d. of a and b if and only if d divides both a and b , and every divisor of a and b divides d .*

Proof. Elements d meeting the latter condition are associates. \square

The condition in the lemma *defines* a g.c.d., when it exists.

22.10. **Lemma.** *G.c.d.s exist in PIDs; in fact the equation*

$$aX + bY = \gcd(a, b)$$

can be solved.

Proof. The ideal generated by the $ax + by$ must be $(\gcd(a, b))$. \square

22.11. **Theorem.** *In a PID, irreducibles are prime.*

Proof. Suppose the irreducible π divides ab but not a . Then the g.c.d. of π and a is 1; hence $\pi x + ay = 1$ for some x and y . Then $b = \pi xb + aby$, and π divides each summand, so $\pi \mid b$. \square

22.12. **Corollary.** *In a PID, prime factorizations are unique.*

A ring is **Noetherian** if every ascending chain of ideals eventually stops.

22.13. **Theorem.** *PIDs are Noetherian.*

Proof. If $I_0 \subseteq I_1 \subseteq \dots$, then $\bigcup_{i \in \omega} I_i$ is an ideal (a) ; if $a \in I_n$, then the chain stops at I_n . \square

22.14. **Lemma.** *In a PID, every element is a product of irreducibles.*

Proof. A tree of factorizations has no infinite branches. \square

22.15. **Theorem.** *PIDs are UFDs.*

A **Euclidean domain** is an integral domain equipped with a map ϕ into $\{-1\} \cup \omega$ such that $\phi^{-1}(-\infty) = \{0\}$ and, for all x and y , if $y \neq 0$, then:

- $\phi(x) \leq \phi(xy)$;
- there exist q and r such that $x = qy + r$ and $\phi(r) < \phi(y)$.

22.16. **Example.** On \mathbb{Z} , let $\phi(x) = |x| - 1$.

22.17. **Example.** On a field, let $\phi(x) = 0$ if $x \neq 0$.

22.18. **Example.** On a polynomial-ring $K[X]$ over a field K , let $\phi = \deg$.

22.19. **Example.** The **Gaussian integers** compose the domain $\mathbb{Z}[i]$ comprising the complex numbers $a + bi$ such that $a, b \in \mathbb{Z}$. This domain is Euclidean when we define $\phi(a + bi) = a^2 + b^2 - 1$.

22.20. **Theorem.** *Euclidean domains are PIDs.*

Proof. An ideal of a Euclidean domain is generated by any non-zero element x such that $\phi(x)$ is minimal. \square

23. LOCALIZATION

A subset of a ring is **multiplicative** if it is closed under multiplication.

23.1. **Example.** The complement of a prime ideal is multiplicative.

23.2. **Theorem.** *If S is a multiplicative subset of a ring R , then on $R \times S$ there is an equivalence-relation \sim given by*

$$(a, b) \sim (c, d) \iff (ad - bc) \cdot e = 0 \text{ for some } e \text{ in } S.$$

The equivalence-class of (a, b) being denoted by

$$\frac{a}{b},$$

the quotient $R \times S / \sim$ is a ring in which the operations are given by

$$\frac{a}{b} \cdot \frac{c}{d} = \frac{a \cdot c}{b \cdot d}, \quad \frac{a}{b} \pm \frac{c}{d} = \frac{a \cdot d \pm b \cdot c}{b \cdot d}.$$

The ring $R \times S / \sim$ of the theorem is denoted by

$$S^{-1}R.$$

In the most important case, S is the complement of a prime ideal \mathfrak{p} , in which case $S^{-1}R$ is denoted by

$$R_{\mathfrak{p}}$$

and called the **localization** of R at \mathfrak{p} . In particular, if R is an integral domain, so that (0) is prime, then the localization of R at (0) is the **quotient-field** of R .

23.3. **Theorem.** *If R is a ring with multiplicative subset S , and $a \in S$, then the map*

$$x \mapsto \frac{ax}{a}$$

from R to $S^{-1}R$ is a ring-homomorphism. Suppose R is an integral domain and $0 \notin S$. Then the homomorphism is an embedding. Every embedding of R in a field factors through its embedding in its quotient-field.

A **local ring** is a ring with a unique maximal ideal.

23.4. **Lemma.** *An ideal \mathfrak{m} of a ring R is a unique maximal ideal of R if and only if $R^{\times} = R \setminus \mathfrak{m}$.*

23.5. **Theorem.** *The localization of a ring at a prime ideal is a local ring.*

Proof. The ideal generated by the image of \mathfrak{p} in $R_{\mathfrak{p}}$ consists of those a/b such that $a \in \mathfrak{p}$. In this case, if $c/d = a/b$, then $cb = da \in \mathfrak{p}$, so $c \in \mathfrak{p}$ since \mathfrak{p} is prime. Hence the following are equivalent:

- (1) $x/y \notin R_{\mathfrak{p}}\mathfrak{p}$;
- (2) $x \notin \mathfrak{p}$;
- (3) x/y has an inverse, namely y/x .

By the previous lemma, we are done. \square

24. FACTORIZATION OF POLYNOMIALS

Let \mathcal{L} be the signature of rings, that is, $\{+, -, \cdot, 0, 1\}$, and let x_i be a variable if $i \in \omega$. Let R be a ring. The *terms* of $\mathcal{L}(R)$ compose the smallest set such that:

- 0, 1 and other elements of R are terms;
- variables are terms;
- if t and u are terms, then so are $-t$ and $(t + u)$ and $(t \cdot u)$.

If the variables used in a term t are in the set $\{x_i : i < n\}$, and if $\mathbf{a} \in A^n$ for some ring A that includes R , then there is a term $t(\mathbf{a})$ got by replacing each x_i with a_i . Define terms t and u to be equivalent, $t \sim u$, if $t(\mathbf{a}) = u(\mathbf{a})$ for all \mathbf{a} from all rings extending R . A **polynomial** over R is an equivalence-class of terms of $\mathcal{L}(R)$. The polynomials containing only variables from $\{x_i : i < n\}$ compose the ring

$$R[x_0, \dots, x_{n-1}].$$

24.1. **Theorem.** *$R[x_0, \dots, x_{n-1}]$ is the unique ring-extension A of R such that, for all rings S , and all homomorphisms ϕ from R to S , and all \mathbf{a} in S^n , there is a unique homomorphism $\tilde{\phi}$ from A to S such that $\tilde{\phi}|_R = \phi$ and $\tilde{\phi}(x^i) = a^i$ in each case.*

An arbitrary element of $R[x]$ can be written

$$\sum_{i \leq n} a_i x^i;$$

the **degree** of this is n , if $a_n \neq 0$; then a_n is the **leading coefficient** of the polynomial.

We have claimed that $K[x]$ is a Euclidean domain when equipped with \deg . More generally:

24.2. **Lemma.** *If f and g are polynomials over R , then:*

- $\deg(f + g) \leq \max(\deg f, \deg g)$;
- $\deg(f \cdot g) \leq \deg f + \deg g$, with equality if the product of the leading coefficients is not 0.

In particular, if R is an integral domain, then so is $R[x]$.

Proof. The leading coefficient of a product is the product of the leading coefficients. \square

24.3. **Lemma** (Division Algorithm). *If f and g are polynomials in x over R , and the leading coefficient of g is 1, then*

$$f = qg + r$$

for some unique q and r in $R[x]$ such that $\deg r < \deg g$.

Proof. If $\deg g \leq \deg f$, and a is the leading coefficient of f , then

$$f = ax^{\deg f - \deg g} \cdot g + (f - ax^{\deg f - \deg g} \cdot g),$$

the second term having degree less than f . Continue as necessary. \square

24.4. **Theorem** (Remainder). *If $c \in R$, then any f in $R[x]$ can be written uniquely as $q(x) \cdot (x - c) + f(c)$.*

Proof. $f = q(x) \cdot (x - c) + d$ for some d in R ; letting x be c yields the claim. \square

24.5. **Corollary.** *A ring-element c is a zero of a polynomial f if and only if $(x - c) \mid f$. If f is over an integral domain, then the number of its distinct zeros is at most $\deg f$.*

24.6. **Theorem.** *If K is a field, then $K[x]$ is a Euclidean domain whose units are precisely the elements of K .*

A **derivation** of a ring R is an endomorphism δ of the underlying abelian group satisfying the Leibniz rule

$$\delta(a \cdot b) = \delta a \cdot b + \delta b \cdot a.$$

The pair (R, δ) is then a **differential ring**.

24.7. **Lemma.** *If δ is a derivation, then $\delta(x^n) = nx^{n-1}\delta x$ for all ring-elements x and n in ω .*

24.8. **Theorem.** *On a polynomial ring $R[x]$ over an integral domain, there is a unique derivation $f \mapsto f'$ such that $x' = 1$, and $c' = 0$ for all c in R .*

Proof. If δ is a derivation, then $\delta(x \cdot (y + z)) = \delta(xy + xz)$. So a derivation on $R[x]$ exists and is determined by its values on R and at x . \square

24.9. **Lemma.** *Say R is an integral domain, $f \in R[x]$ and $f(c) = 0$. Then c is a multiple zero of f if and only if $f'(c) = 0$.*

Proof. Write f as $(x - c)^m \cdot g$, where $g(c) \neq 0$. Then $m \geq 1$, so

$$f' = m(x - c)^{m-1} \cdot g + (x - c)^m \cdot g'.$$

If $m > 1$, then $f'(c) = 0$. If $f'(c) = 0$, then $m \cdot 0^{m-1} \cdot g(c) = 0$, so $m > 1$. \square

24.10. **Theorem.** *Say K is a field and $f \in K[x]$. If $\gcd(f, f') = 1$, then f has no multiple zeros.*

Proof. $1 = g \cdot f + h \cdot f'$ for some polynomials g and h , then f and f' can have no common zero. \square

24.11. **Corollary.** *Suppose K is a field and $f \in K[x]$ is irreducible. Then $f' = 0$ if and only if every root of f is multiple.*

Proof. Since f is irreducible, we have $\gcd(f, f') \neq 1$ if and only if $f' = 0$. \square

APPENDIX A. GROUP-ACTIONS

The following is partially inspired by a recent expository article [5] by Serre.

If $(X_i: i \in I)$ is a family of sets, and $R \subseteq \prod_{i \in I} X_i$, then for any pair (i, j) from I , we have

$$x \mapsto \pi_j(R \cap \pi_i^{-1}(x)): X_i \rightarrow \mathcal{P}(X_j),$$

which induces a map from $\mathcal{P}(X_i)$ to $\mathcal{P}(X_j)$. We are interested in the case when $I = 2$. In particular, suppose

$$(g, x) \mapsto gx$$

is an action of the group G on the set X , and let

$$\Omega = \{(g, x) \in G \times X: gx = x\}.$$

As above, this induces

$$x \mapsto G_x: X \rightarrow \mathcal{P}(G),$$

$$g \mapsto X^g: G \rightarrow \mathcal{P}(X).$$

In fact, $G_x \leq G$. As a special case, we have the action

$$(g, h) \mapsto h^g$$

of G on itself, where

$$h^g = ghg^{-1}.$$

Then

$$\begin{aligned} h \in G_{gx} &\iff (h, gx) \in \Omega \iff hgx = gx \iff \\ &g^{-1}hgx = x \iff (h^{g^{-1}}, x) \in \Omega \iff h^{g^{-1}} \in G_x, \end{aligned}$$

and consequently

$$G_{gx} = (G_x)^g,$$

that is, we have a commutative diagram

$$\begin{array}{ccc} G \times X & \longrightarrow & G \times S \\ \downarrow & & \downarrow \\ X & \longrightarrow & S \end{array},$$

where S is the set of subgroups of G . Likewise, since

$$x \in X^{h^g} \iff (h^g, x) \in \Omega \iff (h, g^{-1}x) \in \Omega \iff g^{-1}x \in X^h,$$

we have

$$X^{h^g} = gX^h,$$

whence the commutative diagram

$$\begin{array}{ccc} G \times G & \longrightarrow & G \times \mathcal{P}(X) \\ \downarrow & & \downarrow \\ G & \longrightarrow & \mathcal{P}(X) \end{array}.$$

Define

$$Gx = \{gx: g \in G\},$$

the **orbit** of x under the action of G . Then $gx = hx \iff gG_x = hG_x$, whence

$$|Gx| = [G : G_x].$$

The sets Gx partition G . We may define

$$X/G = \{Gx : x \in X\}.$$

For any function ϕ from G to \mathbb{R} and subset A of G , we define

$$\int_S \phi = \sum_{g \in S} \frac{\phi(g)}{|G|}, \quad \int \phi = \int_G \phi.$$

Assume now that G and X are finite. Let χ be the function

$$g \mapsto |X^g|$$

from G to ω .

A.1. Lemma (Burnside). $|X/G| = \int \chi$.

Proof. Compute:

$$\sum_{g \in G} \chi(g) = |\Omega| = \sum_{x \in X} |G_x| = \sum_{C \in X/G} \sum_{x \in C} |G_x|.$$

But if $x \in C \in X/G$, then $C = [G : G_x]$. Hence the last quantity is

$$\sum_{c \in X/G} \sum_{x \in C} \frac{|G|}{|C|},$$

which is $|X/G| \cdot |G|$. □

Define

$$G_0 = \{g \in G : X^g = \emptyset\}.$$

A.2. Theorem (Jordan). *If $|X/G| = 1$ and $|X| \geq 2$, then*

$$G_0 \neq \emptyset.$$

Proof. By the Burnside Lemma, the average size of X^g is 1. Since $X^1 = X$, and $|X| \geq 2$, we must have $|X|^g < 1$ for some g in G . □

A stronger result is the following:

A.3. Theorem (Cameron–Cohen). *If $|X/G| = 1$ and $|X| \geq 2$, then*

$$|G_0| \cdot |X| \geq |G|.$$

Proof. The action of G on X induces an action on $X \times X$, and $|(X \times X)^g| = \chi(g)^2$. Now, $(X \times X)/G$ contains the diagonal $G(1, 1)$ and at least one other element, so

$$\int \chi^2 \geq 2$$

by Burnside's Lemma. Let $n = |X|$, so that

$$1 \leq \chi(g) \leq n$$

for all g in $G \setminus G_0$. Then

$$\frac{|G_0| \cdot |X|}{|G|} = n \int_{G_0} 1 = \int_{G_0} (\chi - 1)(\chi - n) \geq \int_G (\chi - 1)(\chi - n) = \int_G (\chi^2 - 1),$$

which is at least 1. □

Serre's article gives applications to topology and number-theory.

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