

How many rational points can a high genus curve
over a finite field have?

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Affine plane curves

k a perfect field (e.g. $\mathbb{Q}, \mathbb{R}, \mathbb{C}, \mathbb{F}_q \dots$)

\bar{k} a fixed algebraic closure of k

Let $f(X, Y) \in k[X, Y]$.

The affine plane curve defined by $f(X, Y)$:

$$\mathcal{C}_f := \{(x, y) \in \bar{k} \times \bar{k} \mid f(x, y) = 0\}$$

\mathcal{C}_f is defined over k .

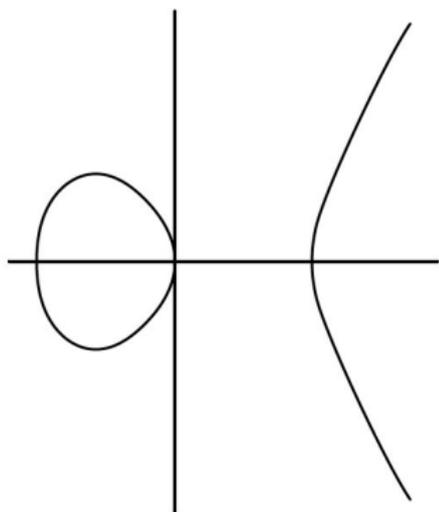
The set of k -rational points of \mathcal{C}_f :

$$\mathcal{C}_f(k) := \{(x, y) \in k \times k \mid f(x, y) = 0\}$$

An example

$$k = \mathbb{R}$$

$$f(X, Y) = Y^2 - X \cdot (X - 1) \cdot (X + 1).$$


$$\mathcal{C}_f(\mathbb{R})$$

Curves in n -space

Can generalize this to curves in higher dimensional space: $\mathcal{C} \subset \bar{k}^n$
 $f_1, f_2, \dots, f_{n-1} \in k[X_1, X_2, \dots, X_n]$.

Affine curve:

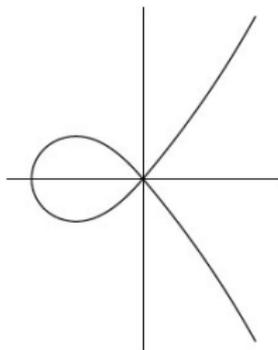
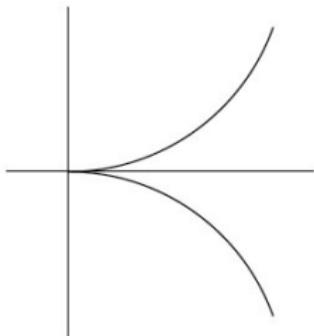
$$\mathcal{C} := \{(a_1, \dots, a_n) \in \bar{k}^n \mid f_i(a_1, \dots, a_n) = 0 \text{ for } i = 1, 2, \dots, n-1\}$$

The set of k -rational points of \mathcal{C} :

$$\mathcal{C}(k) := \{(a_1, \dots, a_n) \in k^n \mid f_i(a_1, \dots, a_n) = 0 \text{ for } i = 1, 2, \dots, n-1\}$$

From now on we assume that \mathcal{C} is a

- absolutely irreducible
- smooth



- projective
curve defined over k .

The genus

Invariant

$g(\mathcal{C})$: a nonnegative integer

\mathcal{C} is a line/conic \longrightarrow genus 0

\mathcal{C} is an elliptic curve \longrightarrow genus 1



Curves over Finite Fields

From now on $k = \mathbb{F}_q$

$\mathcal{C}/\mathbb{F}_q \rightarrow \mathcal{C} \subset \overline{\mathbb{F}}_q^n$ for some $n \in \mathbb{N}$

$$\mathcal{C}(\mathbb{F}_q) \subset \mathbb{F}_q^n$$

So

$\#\mathcal{C}(\mathbb{F}_q)$ is finite

$$\#\mathcal{C}(\mathbb{F}_q) = ?$$

The Hasse–Weil bound

$\mathcal{C} \longrightarrow \zeta_{\mathcal{C}}$ Zeta function of \mathcal{C}

Theorem (Hasse–Weil)

The Riemann hypothesis holds for $\zeta_{\mathcal{C}}$.

Corollary (Hasse–Weil bound)

Let \mathcal{C}/\mathbb{F}_q be a curve of genus $g(\mathcal{C})$. Then

$$\#\mathcal{C}(\mathbb{F}_q) \leq q + 1 + 2\sqrt{q} \cdot g(\mathcal{C}).$$

How good is the Hasse–Weil bound?

Various improvements, but:

If the genus $g(\mathcal{C})$ is small (with respect to q) \longrightarrow Hasse–Weil bound is good.

It can be attained, *maximal curves*, for example over \mathbb{F}_{q^2}

$$y^q + y = x^{q+1}.$$

Ihara, Manin: The Hasse–Weil bound can be improved if $g(\mathcal{C})$ is large (with respect to q).

Ihara's constant

Ihara:

$$A(q) = \limsup_{g(\mathcal{C}) \rightarrow \infty} \frac{\#\mathcal{C}(\mathbb{F}_q)}{g(\mathcal{C})}$$

\mathcal{C} runs over all absolutely irreducible, smooth, projective curves over \mathbb{F}_q .

$$\text{Hasse–Weil bound} \quad \implies A(q) \leq 2\sqrt{q}$$

$$\text{Ihara} \quad \implies A(q) \leq \sqrt{2q}$$

$$\text{Drinfeld–Vladut} \quad \implies A(q) \leq \sqrt{q} - 1$$

Lower bounds for $A(q)$

Serre (using class field towers):

$$A(q) > 0$$

Ihara (modular curves):

If $q = \ell^2$ then

$$A(q) \geq \sqrt{q} - 1 = \ell - 1$$

In fact $A(\ell^2) = \ell - 1$.

Zink (Shimura surfaces):

If $q = p^3$, p a prime number, then

$$A(p^3) \geq \frac{2(p^2 - 1)}{p + 2}$$

(generalized by Bezerra–Garcia–Stichtenoth to all cubic finite fields)

How to obtain lower bounds for $A(q)$?

Find sequences $\mathcal{C}_i/\mathbb{F}_q$ such that $g(\mathcal{C}_i) \rightarrow \infty$ and

$$\lim_{i \rightarrow \infty} \frac{\#\mathcal{C}_i(\mathbb{F}_q)}{g(\mathcal{C}_i)} \text{ is large.}$$

Many ways to construct good sequences:

- Modular curves (Elliptic, Shimura, Drinfeld) (over \mathbb{F}_{q^2})
- Class field towers (over prime fields)
- Explicit equations (recursively defined)

Recursively defined towers

$$f_1, f_2, \dots, f_{n-1} \in \mathbb{F}_q[X_1, X_2, \dots, X_n]$$

$$\mathcal{C} := \{(a_1, \dots, a_n) \in \overline{\mathbb{F}}_q^n \mid f_i(a_1, \dots, a_n) = 0 \text{ for } i = 1, 2, \dots, n-1\}$$

Recursively defined tower:

Fix $F(U, V) \in \mathbb{F}_q[U, V]$.

Define

$$f_1 = F(X_1, X_2)$$

$$f_2 = F(X_2, X_3)$$

...

$$f_{n-1} = F(X_{n-1}, X_n)$$

$$\mathcal{C}_n := \{(a_1, \dots, a_n) \in \overline{\mathbb{F}}_q^n \mid f_1 = f_2 = \dots = f_{n-1} = 0\}$$

$\mathcal{F} = (\mathcal{C}_n)_{n \geq 1}$ tower recursively defined by F .

Recursively defined by $f(U, V) \in \mathbb{F}_q[U, V]$

$$C_4 = \{(a_1, a_2, a_3, a_4) \mid F(a_1, a_2) = F(a_2, a_3) = F(a_3, a_4) = 0\} \subseteq \mathbb{F}_q^4$$



$$C_3 = \{(a_1, a_2, a_3) \mid F(a_1, a_2) = 0, F(a_2, a_3) = 0\} \subseteq \mathbb{F}_q^3$$



$$C_2 = \{(a_1, a_2) \mid F(a_1, a_2) = 0\} \subseteq \mathbb{F}_q^2$$

Limit of a tower

Limit of the tower $\mathcal{F} = (\mathcal{C}_n)_{n \geq 1}$ over \mathbb{F}_q

$$\lambda(\mathcal{F}) = \lim_{n \rightarrow \infty} \frac{\#\mathcal{C}_n(\mathbb{F}_q)}{g(\mathcal{C}_n)} \leq A(q) \leq \sqrt{q} - 1$$

exists

$\lambda(\mathcal{F}) = 0 \longrightarrow$ asymptotically bad

$\lambda(\mathcal{F}) > 0 \longrightarrow$ asymptotically good

Example

Garcia–Stichtenoth, 1996, Norm-Trace tower \mathcal{F}_1
 $q = \ell^2$

$$V^\ell + V = \frac{U^{\ell+1}}{U^\ell + U}$$

$$\lambda(\mathcal{F}_1) = \sqrt{q} - 1$$

Attains the Drinfeld–Vladut bound.

Genus computation is difficult (wild ramification)

Why many rational points?

$$q = \ell^2 \quad V^\ell + V = \frac{U^{\ell+1}}{U^\ell + U}$$

$$X_n^\ell + X_n = \frac{X_{n-1}^{\ell+1}}{X_{n-1}^\ell + X_{n-1}}, \dots, X_3^\ell + X_3 = \frac{X_2^{\ell+1}}{X_2^\ell + X_2}, X_2^\ell + X_2 = \frac{X_1^{\ell+1}}{X_1^\ell + X_1}$$

$$X_1 = a_1 \in \mathbb{F}_q \text{ s.t. } \text{Tr}_{\mathbb{F}_q/\mathbb{F}_\ell}(a_1) \neq 0$$

$(\ell^2 - \ell \text{ choices})$

$$X_2 = a_2 \text{ with } a_2^\ell + a_2 = \frac{a_1^{\ell+1}}{a_1^\ell + a_1} \in \mathbb{F}_\ell \setminus \{0\}$$

$\ell \text{ choices with } a_2 \in \mathbb{F}_q, \text{Tr}_{\mathbb{F}_q/\mathbb{F}_\ell}(a_2) \neq 0$

$$X_3 = a_3 \text{ with } a_3^\ell + a_3 = \frac{a_2^{\ell+1}}{a_2^\ell + a_2} \in \mathbb{F}_\ell \setminus \{0\}$$

$\ell \text{ choices with } a_3 \in \mathbb{F}_q, \text{Tr}_{\mathbb{F}_q/\mathbb{F}_\ell}(a_3) \neq 0$

$\dots \dots \text{ so } \#\mathcal{C}_n(\mathbb{F}_q) \geq (\ell^2 - \ell)\ell^{n-1}$

Towers over cubic finite fields

- van der Geer–van der Vlugt, $q = 2^3 = 8, \mathcal{F}_2/\mathbb{F}_q$

$$V^2 + V = U + 1 + 1/U$$

Attains Zink's bound for $p = 2$.

- Bezerra–Garcia–Stichtenoth, $q = \ell^3, \mathcal{F}_3/\mathbb{F}_q$

$$\frac{1 - V}{V^\ell} = \frac{U^\ell + U + 1}{U} \quad \lambda(\mathcal{F}_3) \geq \frac{2(\ell^2 - 1)}{\ell + 2}.$$

Generalizes Zink's bound.

- B.–Garcia–Stichtenoth, $q = \ell^3, \mathcal{F}_4/\mathbb{F}_q$

$$(V^\ell - V)^{\ell-1} + 1 = \frac{-U^{\ell(\ell-1)}}{(U^{\ell-1} - 1)^{\ell-1}} \quad \lambda(\mathcal{F}_4) \geq \frac{2(\ell^2 - 1)}{\ell + 2}.$$

A new family of towers over all non-prime fields

B.-Beelen-Garcia-Stichtenoth

\mathcal{F}_5 over \mathbb{F}_{ℓ^n} , $n \geq 2$:

Notation: $Tr_n(t) = t + t^\ell + \dots + t^{\ell^{n-1}}$, $N_n(t) = t^{1+\ell+\ell^2+\dots+\ell^{n-1}}$

$$\frac{N_n(V) + 1}{V^{\ell^{n-1}}} = \frac{N_n(U) + 1}{U}.$$

Splitting: $N_n(\alpha) = -1$

$$\lambda(\mathcal{F}_5) \geq \frac{2}{\frac{1}{\ell-1} + \frac{1}{\ell^{n-1}-1}}$$

- $n = 2$: $\ell - 1 \rightarrow$ Drinfeld-Vladut bound
- $n = 3$: $\frac{2(\ell^2-1)}{\ell+2} \rightarrow$ Zink's bound

$\mathcal{F}_6/\mathbb{F}_q$, $q = \ell^n$, $n = 2k + 1 \geq 3$

$$\frac{\text{Tr}_k(V) - 1}{(\text{Tr}_{k+1}(V) - 1)^{\ell^k}} = \frac{(\text{Tr}_k(U) - 1)^{\ell^{k+1}}}{(\text{Tr}_{k+1}(U) - 1)}$$

$$\frac{V^{\ell^n} - V}{V^{\ell^k}} = -\frac{(1/U)^{\ell^n} - (1/U)}{U^{\ell^{k+1}}}$$

$\mathcal{F}_6/\mathbb{F}_q$, $q = \ell^n$, $n = 2k + 1$

$$\lambda(\mathcal{F}_6) \geq \frac{2}{\frac{1}{\ell^k - 1} + \frac{1}{\ell^{k+1} - 1}} \geq \frac{2(\ell^{k+1} - 1)}{\ell + 1 + \epsilon}$$

with

$$\epsilon = \frac{\ell - 1}{\ell^k - 1}.$$

Note:

$$\ell^{k+\frac{1}{2}} - 1 \geq A(\ell^{2k+1}) \geq \frac{2}{\frac{1}{\ell^k - 1} + \frac{1}{\ell^{k+1} - 1}}.$$

$2^{15} (2^3)^5 (2^5)^3$
 $q = 2^k, k$ large,

$$\frac{\lambda(\mathcal{F}_5)}{\sqrt{q} - 1} \approx 94\%$$

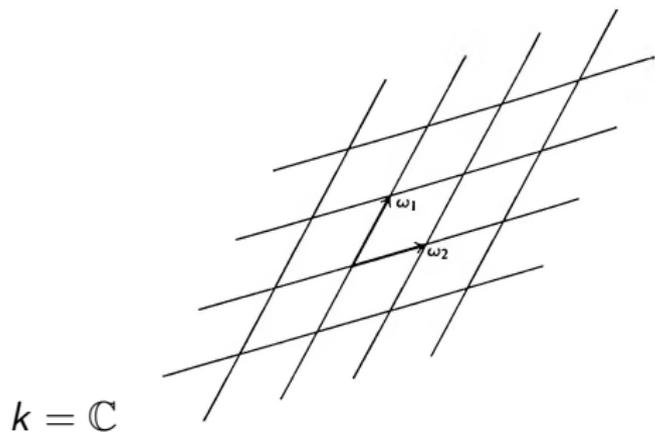
Elliptic Curves

E/k , $\text{char}(k) \neq 2, 3$

$$E : Y^2 = X^3 + A \cdot X + B,$$

where $4A^3 + 27B^2 \neq 0$.

Elliptic Curves over \mathbb{C}



$$\Lambda = \omega_1\mathbb{Z} \oplus \omega_2\mathbb{Z}.$$

\mathbb{C}/Λ

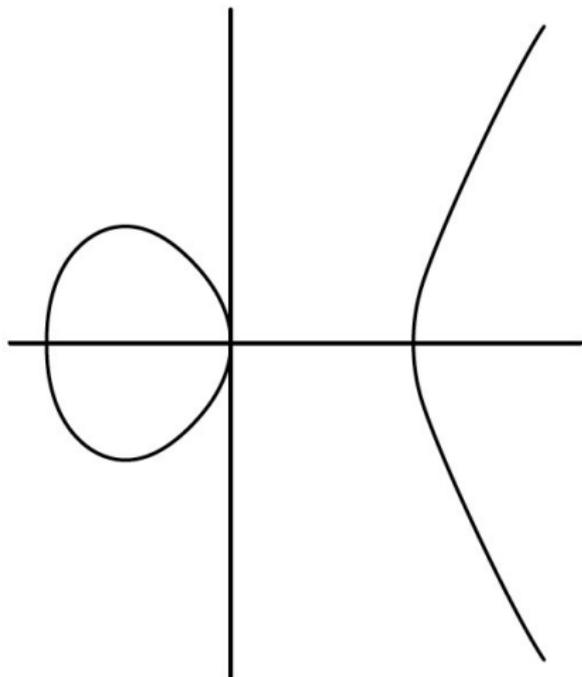
topologically a torus

inherits a complex structure from \mathbb{C} .

Complex manifold $\rightarrow E(\mathbb{C})$

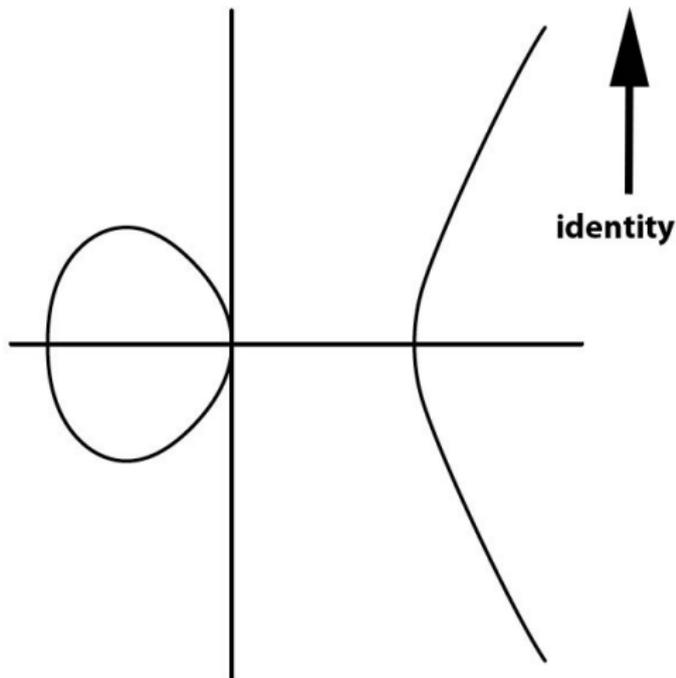
The group law

Points in E inherit a group structure from \mathbb{C} :



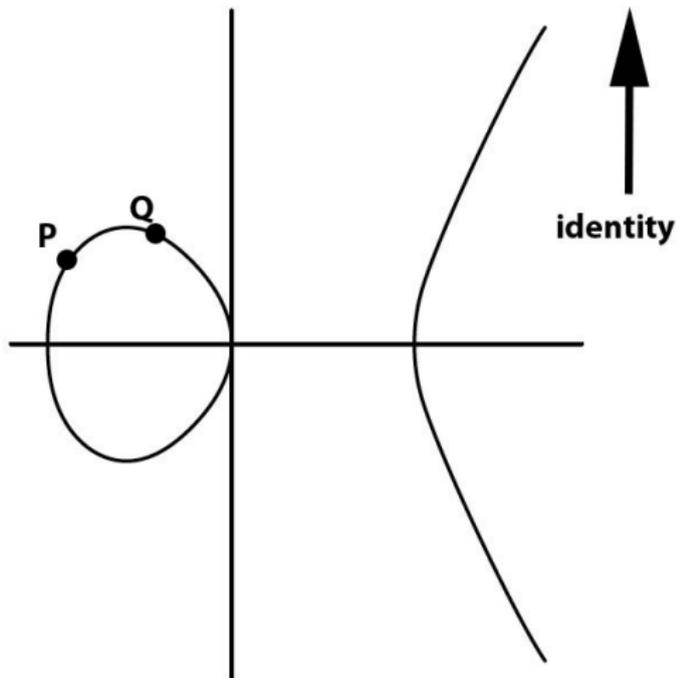
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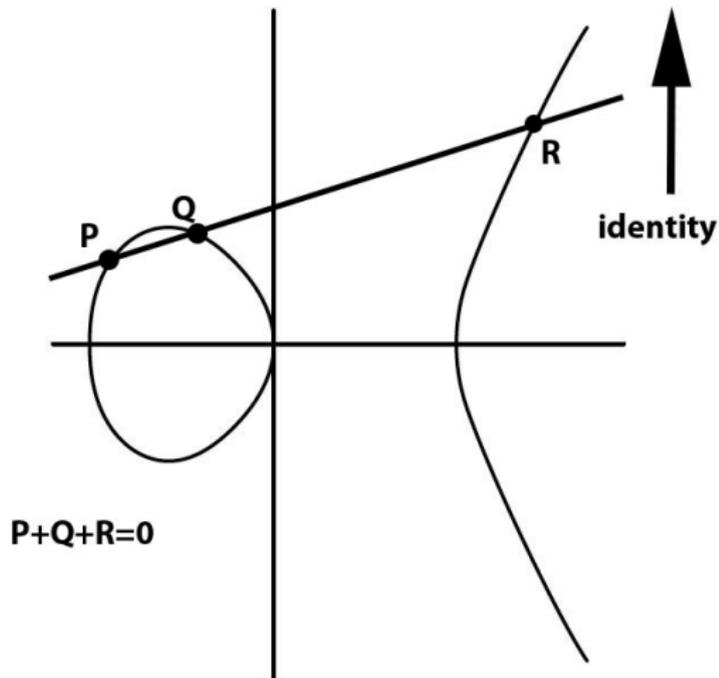
The group law

Points in E inherit a group structure from \mathbb{C} :



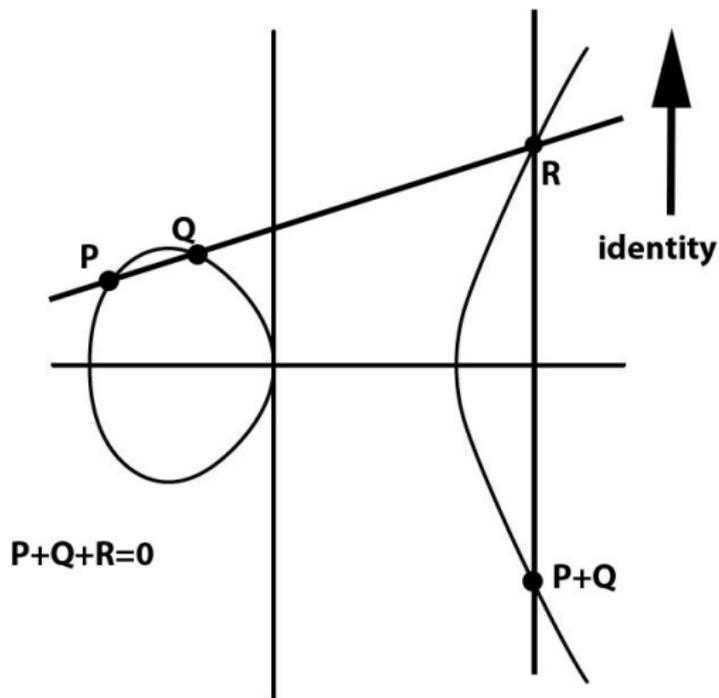
The group law

Points in E inherit a group structure from \mathbb{C} :



The group law

Points in E inherit a group structure from \mathbb{C} :



Isogenies

A morphism $\varphi : E_1 \rightarrow E_2$, which is a group homomorphism is called an *isogeny*.

Example: E elliptic curve, $N \in \mathbb{N}$

$$\begin{array}{lcl} [N] : E & \rightarrow & E \\ P & \mapsto & \underbrace{P + P + \dots + P}_{N \text{ times}} \end{array}$$

$\#\ker(\varphi)$ is finite.

$\#\ker(\varphi) = N \rightarrow \varphi$ is an N -isogeny $\rightarrow \ker(\varphi) \subset \ker([N])$.

Torsion

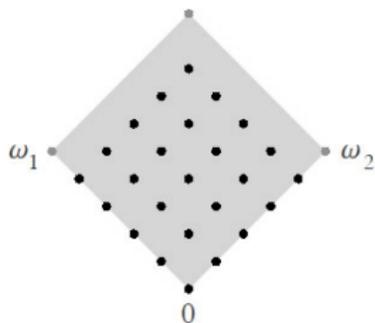
$\ker([N]) = \{P \in E \mid N \cdot P = 0\} =: E[N] \rightarrow N$ -torsion points

if $\text{char}(k) \nmid N$ $E[N] \cong \mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}$

$\{0\} \rightarrow$ supersingular

if $\text{char}(k) = p$ $E[p] \cong$ or

$\mathbb{Z}/p\mathbb{Z} \rightarrow$ ordinary



Isomorphism classes of elliptic curves

\mathbb{C}/Λ_1 and \mathbb{C}/Λ_2 are isomorphic



Λ_1 and Λ_2 are homothetic, i.e. $\Lambda_1 = \alpha\Lambda_2, \alpha \in \mathbb{C}^\times$.

Let

$$\mathbb{H} = \{\tau \in \mathbb{C} \mid \text{Im}(\tau) > 0\}.$$

Every lattice is homothetic to a lattice of the form

$$\Lambda_\tau = \mathbb{Z} + \mathbb{Z}\tau$$

with $\tau \in \mathbb{H}$.

When are Λ_τ and $\Lambda_{\tau'}$ the same lattice?

When are Λ_τ and $\Lambda_{\tau'}$ the same lattice?

$SL_2(\mathbb{Z})$ acts on \mathbb{H} by fractional linear transformations:

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot \tau = \frac{a\tau + b}{c\tau + d}.$$

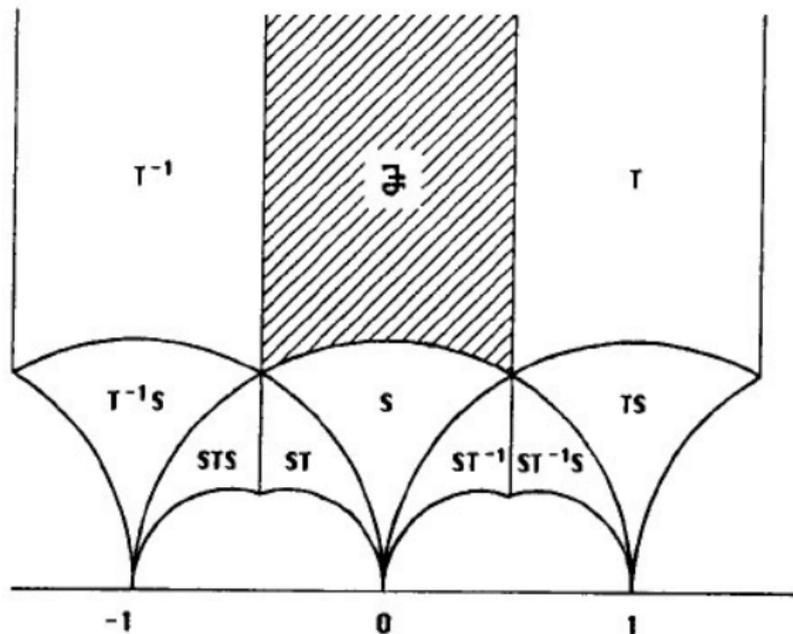
Λ_τ and $\Lambda_{\tau'}$ are the same lattice



τ and τ' are in the same orbit under the action of $SL_2(\mathbb{Z})$.

Isomorphism classes of elliptic curves

Elliptic curves / isomorphism \longleftrightarrow lattices in \mathbb{C} / homothety
 $\longleftrightarrow \mathbb{H}/\mathrm{SL}_2(\mathbb{Z}) \rightarrow X(1)$



The j -Function

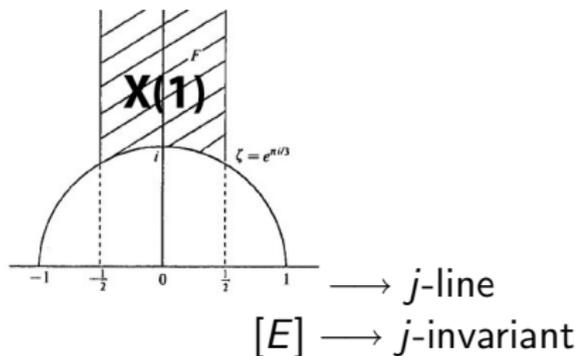
There exists a holomorphic function

$$j : \mathbb{H} \rightarrow \mathbb{C},$$

which is invariant under $SL_2(\mathbb{Z})$.

$$j : \mathbb{H}/SL_2(\mathbb{Z}) \rightarrow \mathbb{C}$$

is a bijection!



Fact: E supersingular $\longrightarrow j(E) \in \mathbb{F}_{p^2}$,
 where p is the characteristic.

$\therefore j$ -line parametrizes isomorphism classes of Elliptic curves
 \rightarrow has designated \mathbb{F}_{p^2} -rational points.

Enhanced Elliptic Curves

Elliptic curves with some additional structure

$$(E, C)$$

E : Elliptic Curve

C : cyclic subgroup of order N / N -isogeny

$$(E, C) \sim (E', C') \quad \text{isomorphism takes } C \rightarrow C'.$$

$X_0(N)$ modular curve parametrizing (E, C) .

$$\begin{array}{c} X_0(N) \\ \downarrow \text{forget} \\ X(1) \end{array}$$

\supset

$$\begin{array}{c} A \subset X_0(N)(\mathbb{F}_{p^2}) \\ \downarrow \\ \text{supersingular} \subset X(1)(\mathbb{F}_{p^2}) \end{array}$$

$(N_i)_{i \geq 0}$ with $N_i \rightarrow \infty$, $p \nmid N_i$.

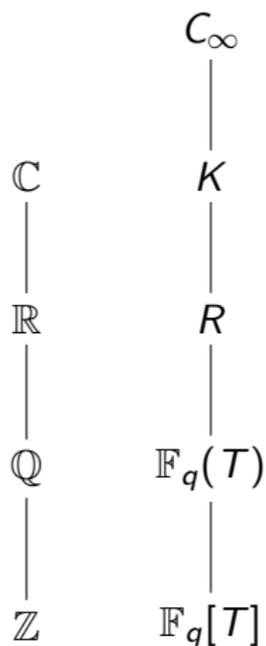
$$C_{N_i} = (X_0(N_i) \pmod{p})$$

- $\#C_{N_i}(\mathbb{F}_{p^2})$ is large (supersingular points)
- $g(C_{N_i})$ can be estimated

$$\frac{\#C_{N_i}(\mathbb{F}_{p^2})}{g(C_{N_i})} \rightarrow \sqrt{p^2} - 1 = p - 1 \quad (\text{Drinfeld-Vladut bound})$$

Elkies: $X_0(\ell^n)$ recursive.

Drinfeld Modular Varieties



\mathbb{Z} -lattices inside \mathbb{C} \rightarrow rank 1 or 2

$\mathbb{F}_q[T]$ -lattices inside C_∞ \rightarrow arbitrary high rank possible

Drinfeld Modular Curves

$A = \mathbb{F}_\ell[T]$, P a prime of A ,

$$\mathbb{F}_P = A / \langle P \rangle = \mathbb{F}_{\ell^d}$$

where $d = \deg P$.

$\mathbb{F}_P^{(2)}$: The unique quadratic extension of \mathbb{F}_P .

For $N \in \mathbb{F}_\ell[T]$ we have

$$X_0(N)$$

an algebraic curve defined over $\mathbb{F}_\ell(T)$, Drinfeld modular curve,
parametrizing rank 2 Drinfeld modules together with an N -isogeny.
 $X_0(N)$ has good reduction at all primes $P \nmid N$.

$$X_0(N) / \mathbb{F}_P$$

Many points on Drinfeld modular curves

$X_0(N)/\mathbb{F}_P$ has many rational points over $\mathbb{F}_P^{(2)} = \mathbb{F}_{\ell^{2d}}$, where $d = \deg P$. Asymptotically:

Theorem (Gekeler)

$P \in \mathbb{F}_\ell[T]$ prime of degree d

$(N_k)_{k \geq 0}$: sequence of polynomials in $\mathbb{F}_\ell[T]$ with

- $P \nmid N_k$
- $\deg N_k \rightarrow \infty$

Then the sequence of curves

$$X_0(N_k)/\mathbb{F}_P$$

attains the Drinfeld–Vladut bound over $\mathbb{F}_P^{(2)} = \mathbb{F}_{\ell^{2d}}$.

Elkies: $X_0(Q^n)$ recursive.

Norm trace tower is related to (degree $\ell - 1$ cover of)

$$X_0(T^n)/\mathbb{F}_{T-1}$$

Many points over non-quadratic fields

Many points come from the supersingular points

→ defined over $\mathbb{F}_P^{(2)}$.

In general:

Theorem (Gekeler)

Any supersingular Drinfeld module ϕ of rank r and characteristic P is isomorphic to one defined over L , where L is an extension of F_P of degree r .

Idea: Look at space parametrizing rank r Drinfeld modules

Problem: The corresponding space is higher dimensional
(($r - 1$)-dimensional), not a curve!

Idea': Look at curves on those spaces, passing through the many
 \mathbb{F}_{ℓ^r} -rational points

(B.–Beelen–Garcia–Stichtenoth)

$$\frac{\text{Tr}_k(V) - 1}{(\text{Tr}_{k+1}(V) - 1)^{\ell^k}} = \frac{(\text{Tr}_k(U) - 1)^{\ell^{k+1}}}{(\text{Tr}_{k+1}(U) - 1)}$$

\mathcal{F}/\mathbb{F}_q , $q = \ell^n$, $n = 2k + 1$

$$A(q) \geq \lambda(\mathcal{F}) \geq \frac{2}{\frac{1}{\ell^k - 1} + \frac{1}{\ell^{k+1} - 1}} \geq \frac{2(\ell^{k+1} - 1)}{\ell + 1 + \epsilon}$$

with

$$\epsilon = \frac{\ell - 1}{\ell^k - 1}.$$

joint work (in progress) with Beelen, Garcia, Stichtenoth

Let ϕ be a rank n Drinfeld Module of characteristic $T - 1$.

$$\phi_T = \tau^n + g_1\tau^{n-1} + g_2\tau^{n-2} + \cdots + g_{n-1}\tau + 1$$

Let $\lambda : \phi \rightarrow \psi$ be an isogeny of the form

$$\tau - u$$

whose kernel is annihilated by T .

$$\exists \mu = \tau^{n-1} + a_2\tau^{n-2} + \cdots + a_{n-1}\tau + a_n, \text{ s.t.}$$

$$\mu \cdot \lambda = \phi_T$$

Then

$$N_n(u) + g_1 \cdot N_{n-1}(u) + g_2 \cdot N_{n-2}(u) + \cdots + g_{n-1} \cdot N_1(u) + 1 = 0$$

$$\text{Notation: } N_k(x) = x^{1+\ell+\cdots+\ell^{k-2}+\ell^{k-1}}$$

Equations for the isogenous Drinfeld module

$$\lambda : \phi \rightarrow \psi$$

$$\psi_T = \tau^n + h_1 \cdot \tau^{n-1} + \cdots + h_{n-1} \cdot \tau + 1$$

$$\text{Isogeny: } \lambda \cdot \phi = \psi \cdot \lambda$$

$$h_{n-1} u^\ell = g_{n-1} u$$

$$h_{n-2} u^{\ell^2} - h_{n-1} = g_{n-2} u - g_{n-1}^\ell$$

.....

$$h_1 u^{\ell^{n-1}} - h_2 = g_1 u - g_2^\ell$$

$$u^{\ell^n} - h_1 = u - g_1^\ell$$

$$1 + N_n(u) \left[1 + \frac{h_1}{N_1(u)} + \frac{h_2^\ell}{N_2(u)} + \cdots + \frac{h_{n-1}^{\ell^{n-2}}}{N_{n-1}(u)} \right] = 0.$$

$g_1 = g_2 = \cdots = g_{n-1} = 0 \rightarrow$ supersingular (will split).

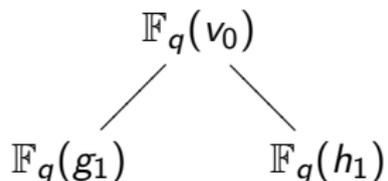
Find curve passing through this point and invariant under $g_i \rightarrow h_i$.

Consider $g_2 = \cdots = g_{n-1} = 0 \Rightarrow h_2 = \cdots = h_{n-1} = 0$

$$-g_1 = \frac{N_n(1/u) + 1}{(1/u)^{\ell^{n-1}}},$$

$$-h_1 = \frac{N_n(1/u) + 1}{1/u}$$

Letting $v_0 = 1/u$



$$\frac{N_n(V) + 1}{V^{\ell^{n-1}}} = \frac{N_n(U) + 1}{U}.$$